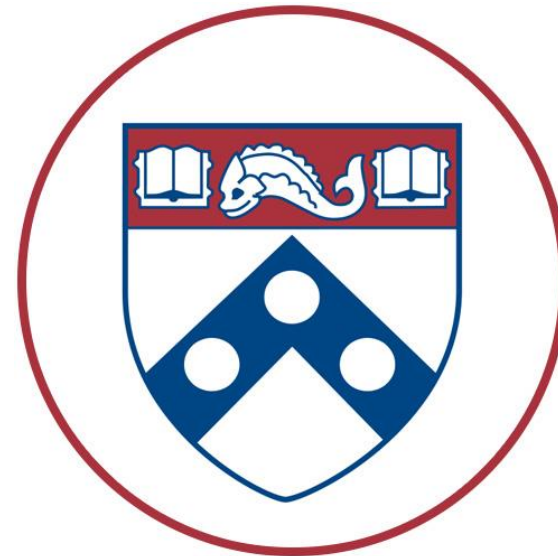


Multiverse Predictions for Habitability

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[1901.04614]
[1902.06784]
[1903.06283]
[1904. ??????]

Introduction

- **Multiverse Hypothesis:**

The laws of physics may be different elsewhere

- **Anthropic Principle:**

We can only live someplace where life can exist

[Carter 83]
[Barrow & Tipler 88]

- **Principle of Mediocrity:**

We should expect to be typical observers

[Vilenkin 95]

Hypothesis H

Is our universe good at H?

no

yes

Life should not
depend on H

Life should
depend on H

Does life depend on H?

yes

no

no

yes

Evidence against
the multiverse

Consistent with
the multiverse

Drake Equation

$$N_{\text{obs}} = N_{\text{stars}} \times f_p \times n_e \times f_{\text{bio}} \times f_{\text{int}} \times N_{\frac{\text{obs}}{\text{civ}}}$$

[Glaiser 10]
[Frank & Sullivan 16]

Focus on the variables

$$\alpha = \frac{e^2}{4\pi}, \quad \beta = \frac{m_e}{m_p}, \quad \gamma = \frac{m_p}{M_{pl}}$$

$$\alpha_{\text{obs}} = \frac{1}{137}, \quad \beta_{\text{obs}} = \frac{1}{1836}, \quad \gamma_{\text{obs}} = 3.9 \times 10^{-19}$$

Figures of Merit

$P(\alpha_{\text{obs}}),$ $P(\beta_{\text{obs}}),$ $P(\gamma_{\text{obs}}),$

$P(M_{\text{sun}}),$ $P(\text{now})$

- H stable: $\alpha_{\text{max}} = 2.1 \alpha_{\text{obs}}$
- Galaxies cool: $\alpha_{\text{min}} = .2 \alpha_{\text{obs}}$
- Stars/fusion: $\beta_{\text{max}} = 2.2 \beta_{\text{obs}}$
- Stellar lifetime: $\gamma_{\text{max}} = 134 \gamma_{\text{obs}}$

Number of Stars

Simplifying assumption: nearly all gas inside galaxies eventually becomes stars.

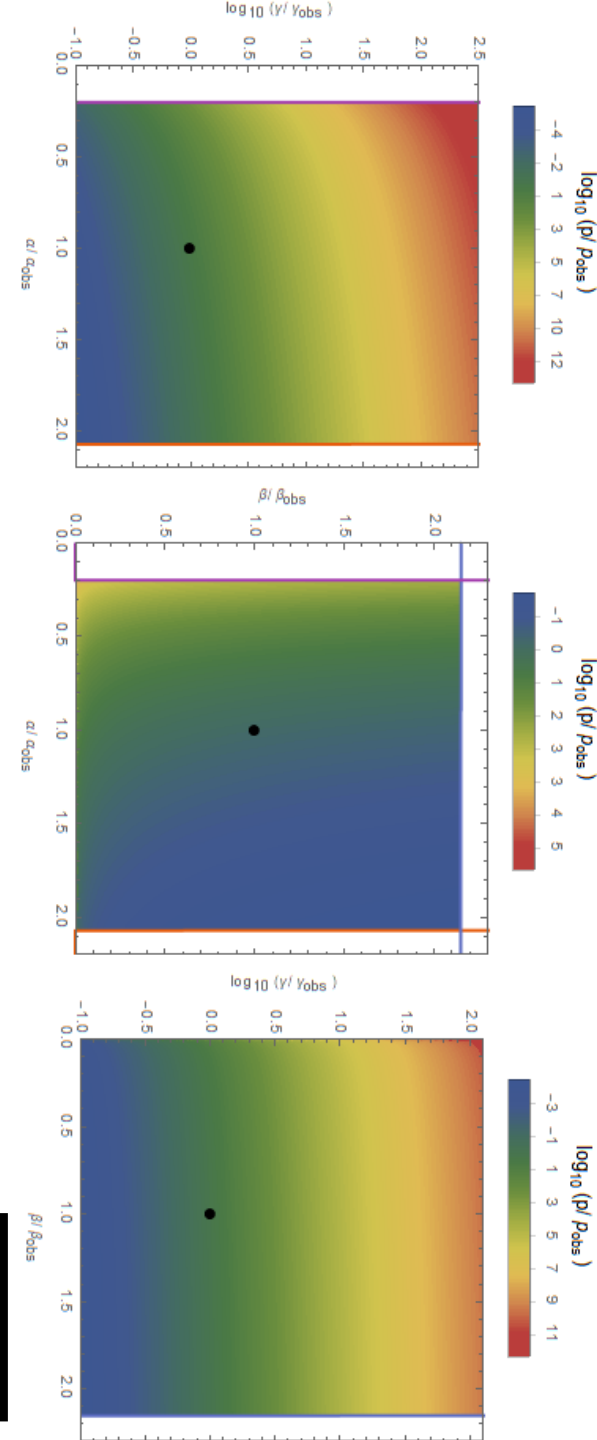
$$\Rightarrow N_{\text{stars}} \sim \frac{1}{\langle M_{\star} \rangle}$$

Minimum mass capable of fusion: $M_{\text{min}} \propto \frac{\alpha^{3/2}}{\beta^{3/4}} \frac{M_{\text{pl}}^3}{m_p^2}$ [Adams 08]

$$P(\text{obs}) \propto \frac{\gamma^2}{\alpha^{3/2} \beta^{1/4}}$$

$$\mathbb{P}(\alpha_{\text{obs}}) = .20, \quad \mathbb{P}(\beta_{\text{obs}}) = .44, \quad \mathbb{P}(\gamma_{\text{obs}}) = 4.2 \times 10^{-7}$$

Life should depend on stellar properties



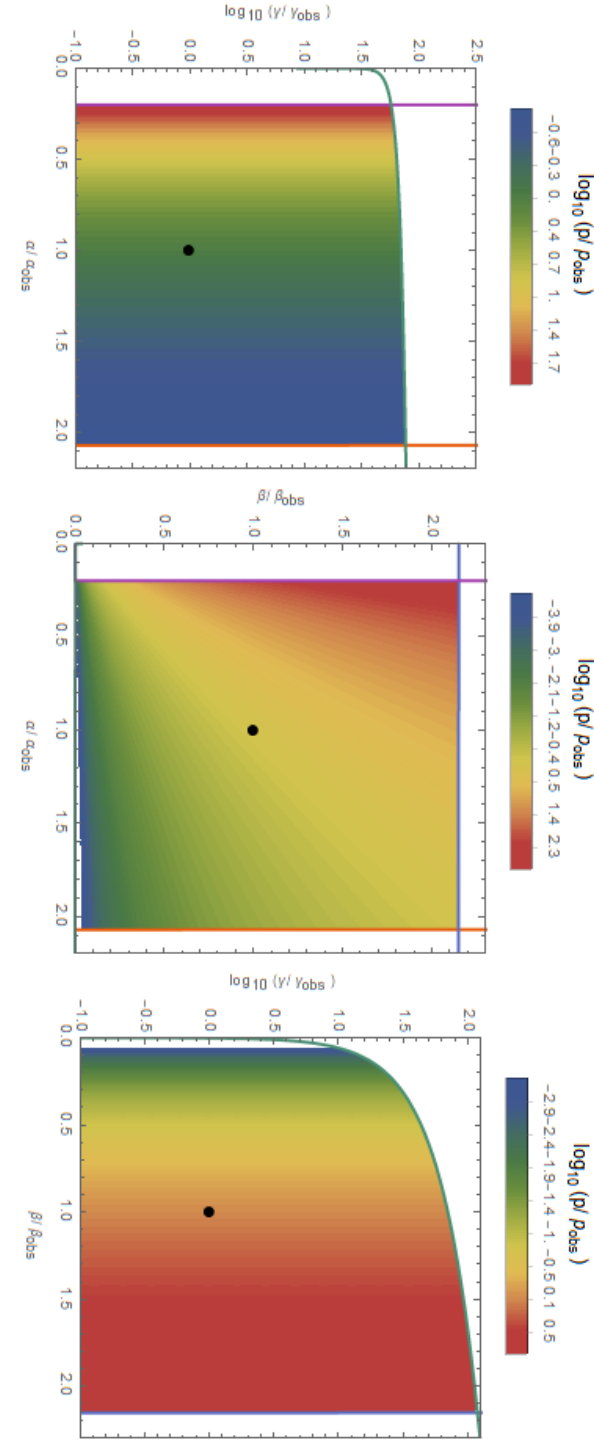
Is P(life) proportional to stellar lifetime?

$$t_{\star}(\lambda) = 110 \frac{\alpha^2 M_{pl}^2}{\lambda^{5/2} m_e^2 m_p} \quad \lambda = \frac{M_{\star}}{M_{ch}}$$

What is the minimum timescale to develop intelligence?

Simplest ansatz: 10^{30} “ticks of the molecular clock”

$$t_{mol} \sim 30 \frac{m_p^{1/2}}{\alpha^2 m_e^{3/2}} \implies \lambda_{max} \propto \alpha^{8/5} \beta^{-1/5} \gamma^{-4/5}$$



Should not find more life around older stars

$$\mathbb{P}(\alpha_{obs}) = .251, \quad \mathbb{P}(\beta_{obs}) = .196, \quad \mathbb{P}(\gamma_{obs}) = .007$$

Is photosynthesis necessary?

Vastly important for life:

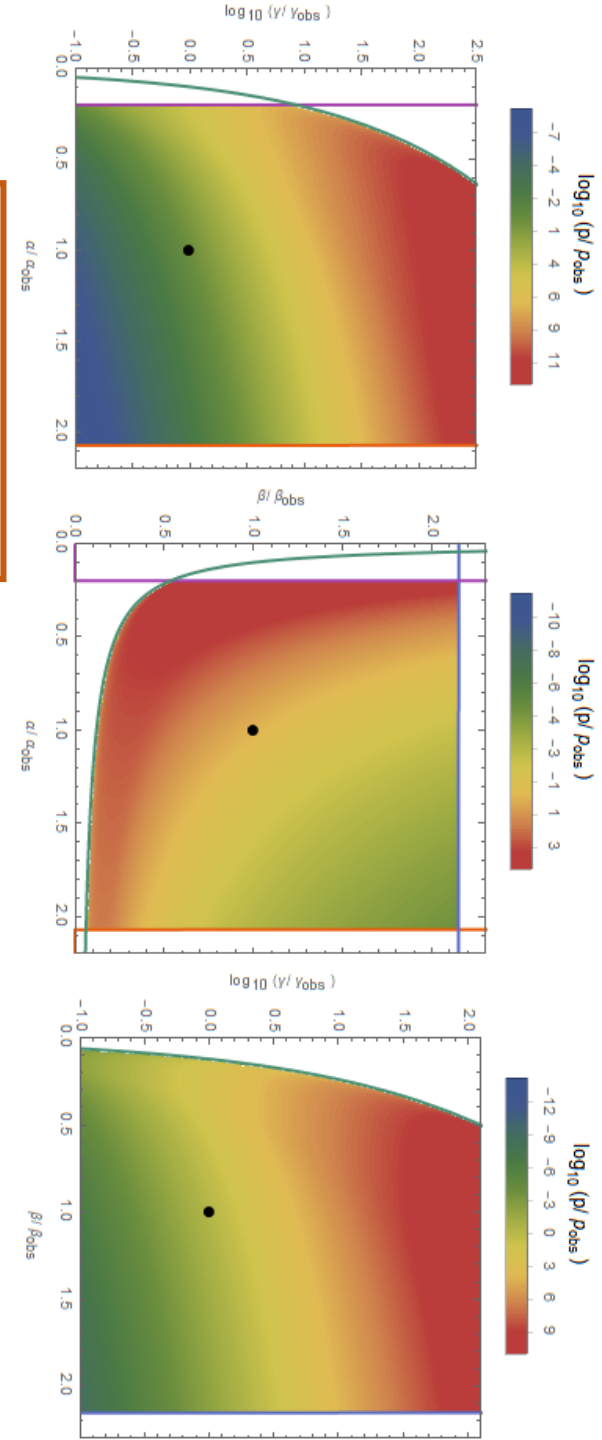
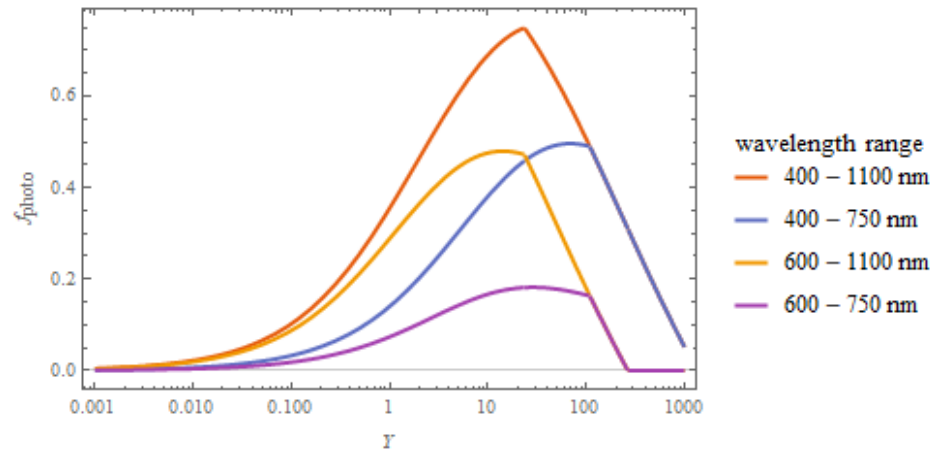
- Sun produces by far the most free energy on earth
- Produces O₂, aerobic metabolism necessary for animals [Catling+ 05]

Not automatic!

$$T_{\star} \approx E_{\text{atomic}} \iff \gamma \approx \alpha^6 \beta^2$$

[Press & Lightman 83]

this work: $\gamma \approx \alpha^{63/20} \beta^{137/40}$



$$\mathbb{P}(\alpha_{\text{obs}}) = .32, \quad \mathbb{P}(\beta_{\text{obs}}) = .23, \quad \mathbb{P}(\gamma_{\text{obs}}) = 5.2 \times 10^{-7}$$

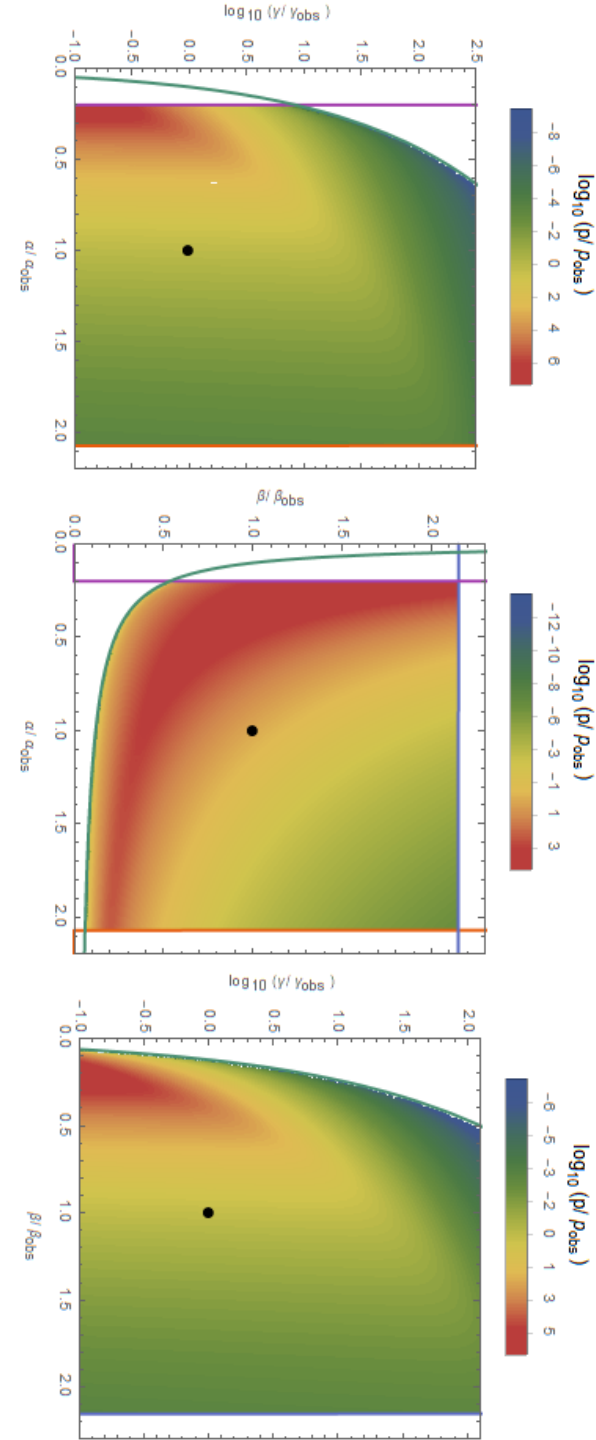
Entropy production

Entropy sets the ultimate size of the biosphere [Wolpert 16]

$$P \propto \Delta S_{\text{tot}} \sim \frac{L_{\star}}{T_{\star}} \frac{A_{\oplus}}{4 \text{ AU}^2} t_{\star} \sim \frac{\alpha^{17/2} \beta^2}{\gamma^{17/4}}$$

\dot{S}_{solar}	\sim	$10^{36} \frac{\text{bits}}{\text{sec}}$
S_{bacteria}	\sim	10^{11}
$\dot{S}_{\text{turnover}}$	\sim	$10^{30} \frac{\text{cells}}{\text{year}}$
$\dot{S}_{\text{biosphere}}$	\sim	$10^{34} \frac{\text{bits}}{\text{sec}}$

Life should correlate with entropy and depend on photosynthesis



$$\mathbb{P}(\alpha_{\text{obs}}) = .19, \quad \mathbb{P}(\beta_{\text{obs}}) = .45, \quad \mathbb{P}(\gamma_{\text{obs}}) = .32$$

What fraction of stars have planets?

- Minimum metallicity: $t_{\text{clump}} < t_{\text{disk}}$

[Johnson & Li 12]
[Ercolano & Clarke 10]

$$Z_{\text{min}} \sim \frac{\gamma^{1/2}}{\alpha^3 \beta^{1/2}}$$

- Smallest metal retaining galaxy:

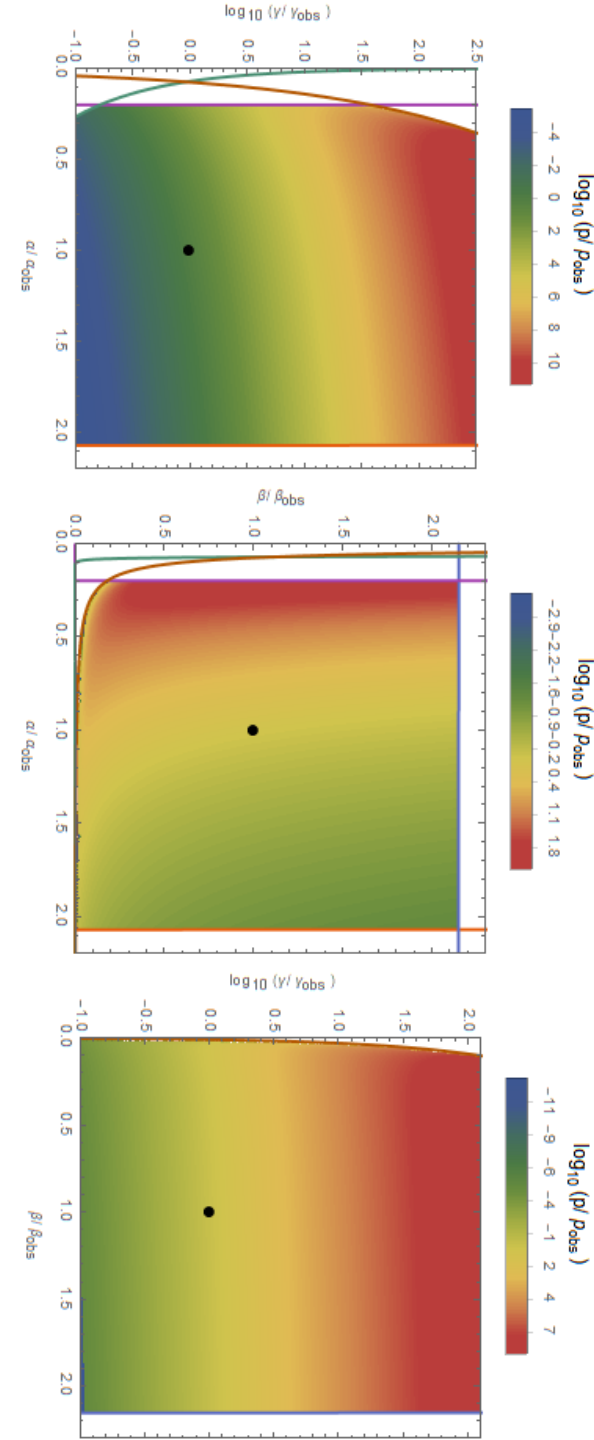
$$M_{\text{ret}} \sim \frac{\alpha^3 m_e^{5/2} M_{\text{pl}}^3}{m_p^{9/2}}$$

- Supernovae: $t_{\text{SN}} < t_{\text{star formation}}$

- Hot Jupiters:

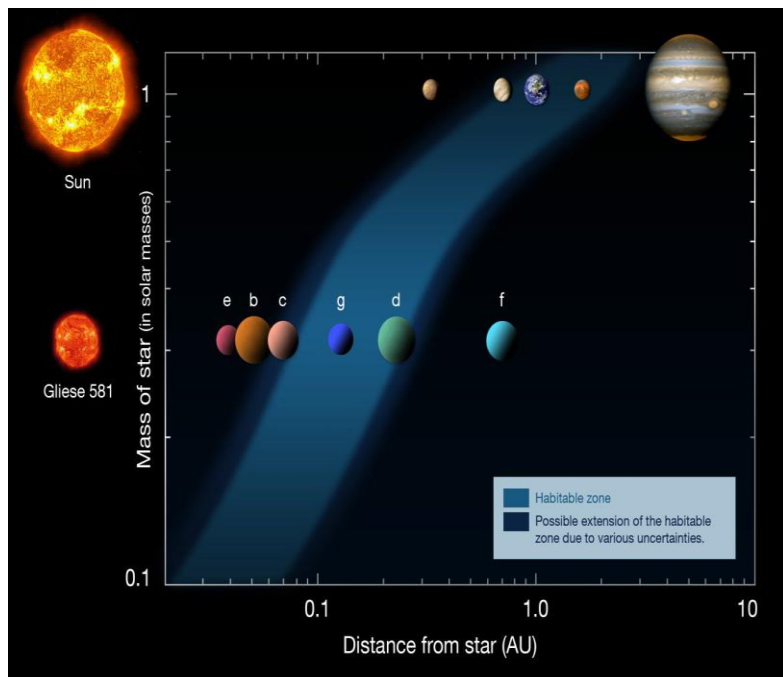
[Fischer & Valenti 05]

$$f_{\text{hj}} = 1 - \frac{Z^2}{Z_{\text{max}}^2}, \quad Z_{\text{max}} \sim \alpha^{13/8} \beta^{3/2}$$



$$\mathbb{P}(\alpha_{\text{obs}}) = .18, \quad \mathbb{P}(\beta_{\text{obs}}) = .44, \quad \mathbb{P}(\gamma_{\text{obs}}) = .31$$

Is life only on temperate planets?



Necessary for liquid water
Classic definition of “Habitable Zone”

$$\Delta a_{\text{temp}} \sim a_{\text{spacing}}$$

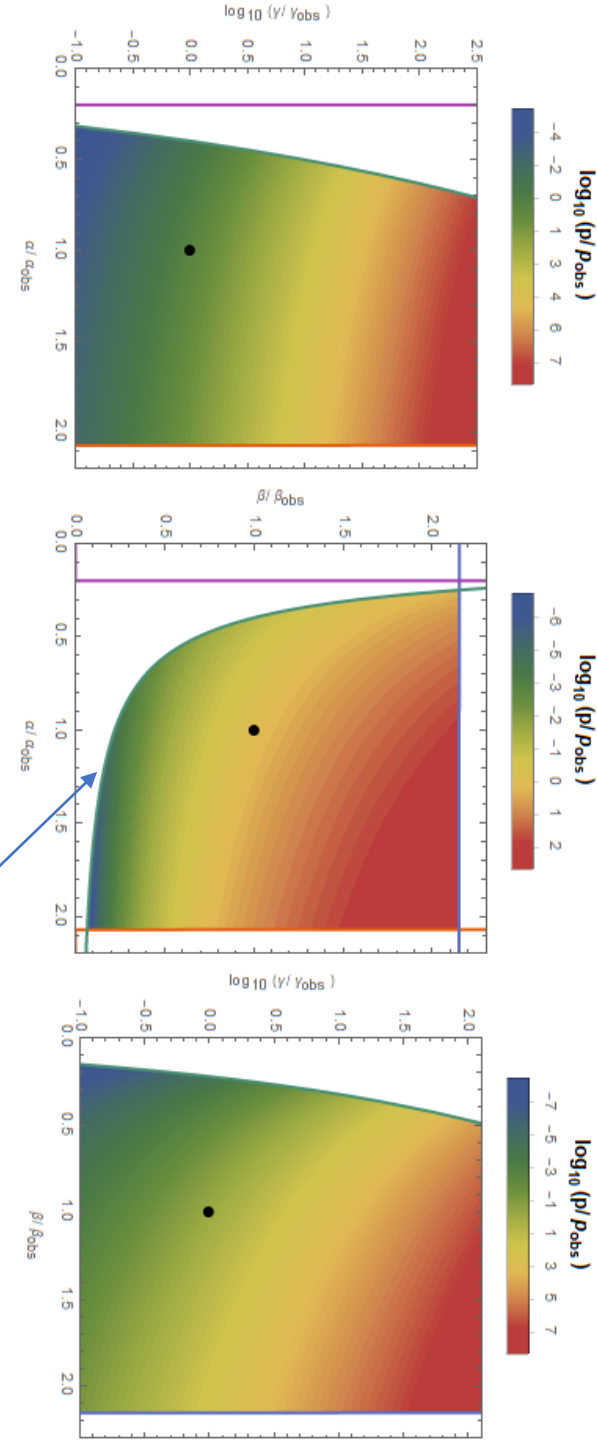
phase changes

disk evolution

$$f_{\text{temp}} \sim \frac{\Delta a_{\text{temp}}}{a_{\text{spacing}}} \sim \frac{\alpha^{11/2} \beta^{7/4}}{\gamma^{5/8}}$$

Disks larger than habitable zone: $\frac{\gamma^{1/2}}{\alpha^5 \beta^2} < 100 \times (\text{obs})$

$$\mathbb{P}(\alpha_{\text{obs}}) = .24, \quad \mathbb{P}(\beta_{\text{obs}}) = .37, \quad \mathbb{P}(\gamma_{\text{obs}}) = .15$$



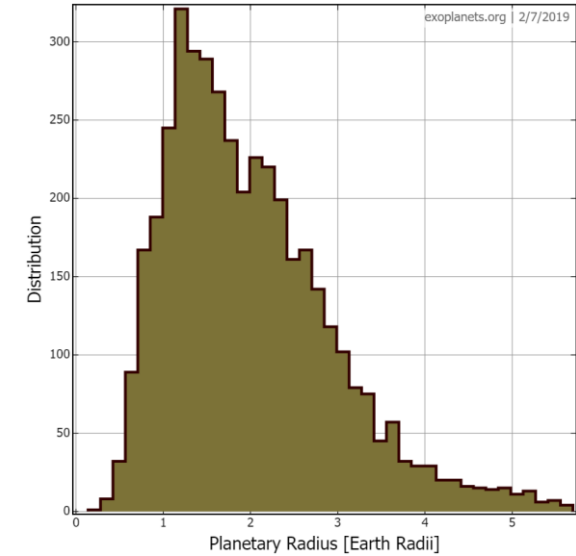
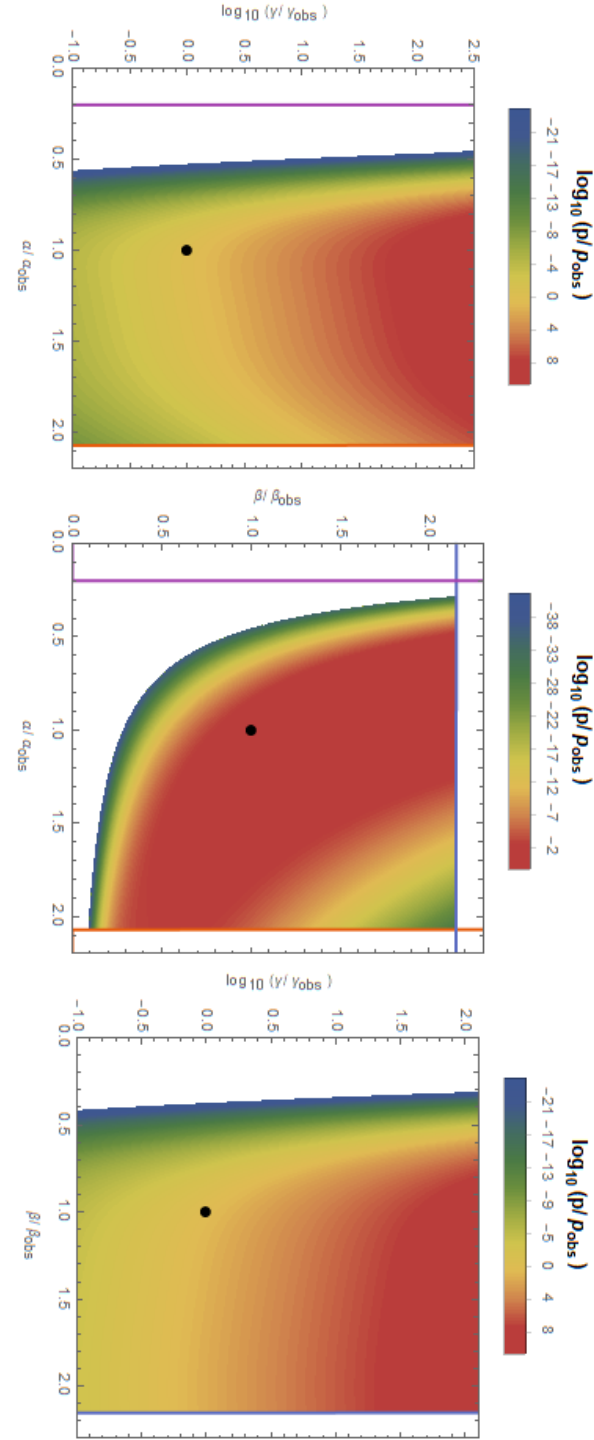
Is life only on Earth mass planets?

Peak in mass distribution near Earth mass

[Pettigura+ 13][Ginzburg+ 17][Owen&Wu 17][Zeng+ 18]

Terrestrial: escape velocity \approx thermal velocity

$$\Rightarrow .3 < \frac{M}{M_{\oplus}} < 4$$



Planet size set by amount of initial material [Kokubo+ 06]

$$M_{\text{planet}} \sim \left(\frac{4\pi \Sigma a^{5/2} \rho^{1/6}}{M_{\star}^{1/2}} \right)^{3/2} \quad [\text{Schlichting 14}]$$

$$\frac{M_{\text{planet}}}{M_{\text{terr}}} \propto \frac{1}{\alpha^{9/2} \beta^{45/16}}$$

$\mathbb{P}(\alpha_{\text{obs}}) = .38, \quad \mathbb{P}(\beta_{\text{obs}}) = .25, \quad \mathbb{P}(\gamma_{\text{obs}}) = .007$ w/o M_{star} dependence

$\mathbb{P}(\alpha_{\text{obs}}) = .23, \quad \mathbb{P}(\beta_{\text{obs}}) = .26, \quad \mathbb{P}(\gamma_{\text{obs}}) = .41$ w/ M_{star} dependence

Is life more probable on larger planets?

$$P(\text{life}) \propto A_{\text{planet}}?$$

- More 'sites' for emergence
- High fractal dimension, scales as R_{planet}^3 [Hazen 17]

$$P_{\text{size}} \sim N_{\text{sites}} \sim \frac{V_{\text{clay}} \rho_A}{L_{\text{mol}}^2} \propto \frac{\alpha^{1/4} \beta^{15/8}}{\gamma^3}$$

The opposite problem as before!

$$\mathbb{P}(\alpha_{\text{obs}}) = .37, \quad \mathbb{P}(\beta_{\text{obs}}) = .11, \quad \mathbb{P}(\gamma_{\text{obs}}) = .01$$

Do not expect more life on larger planets

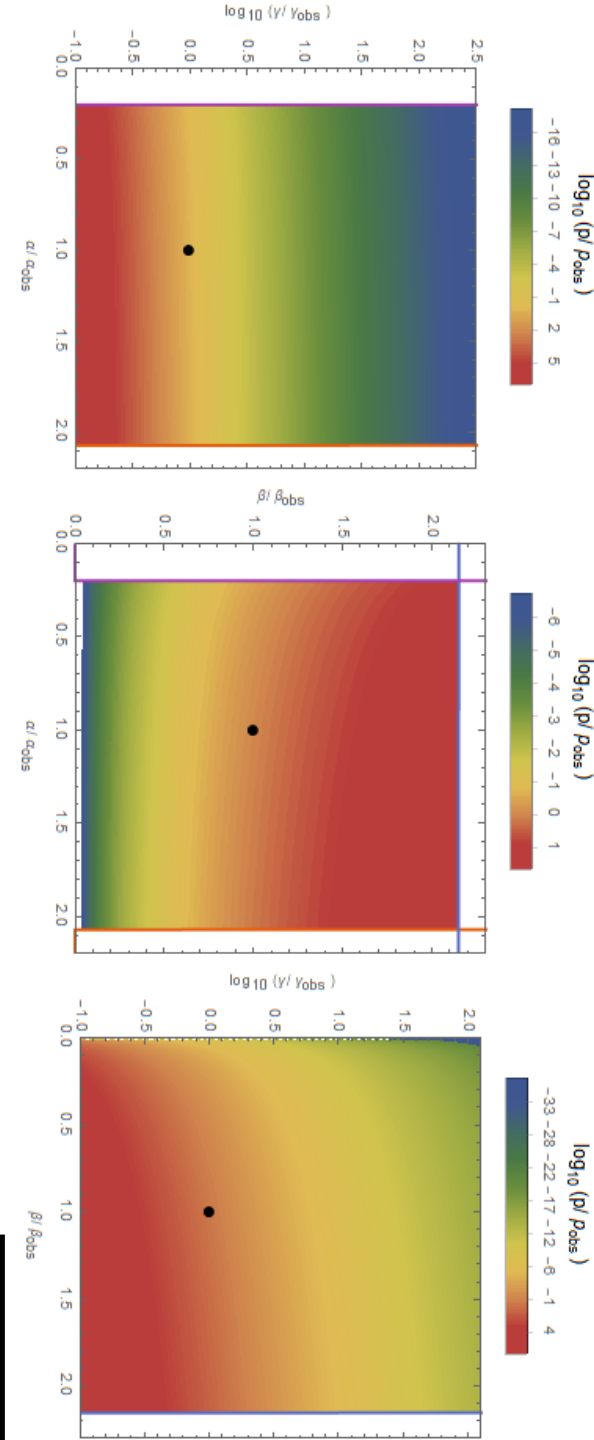
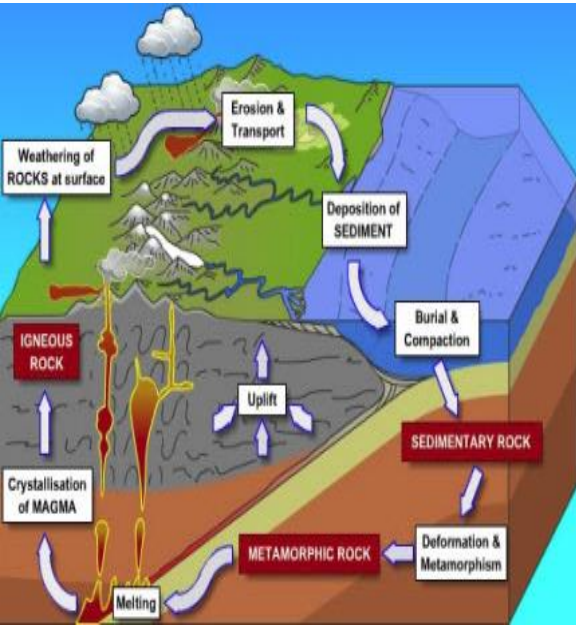


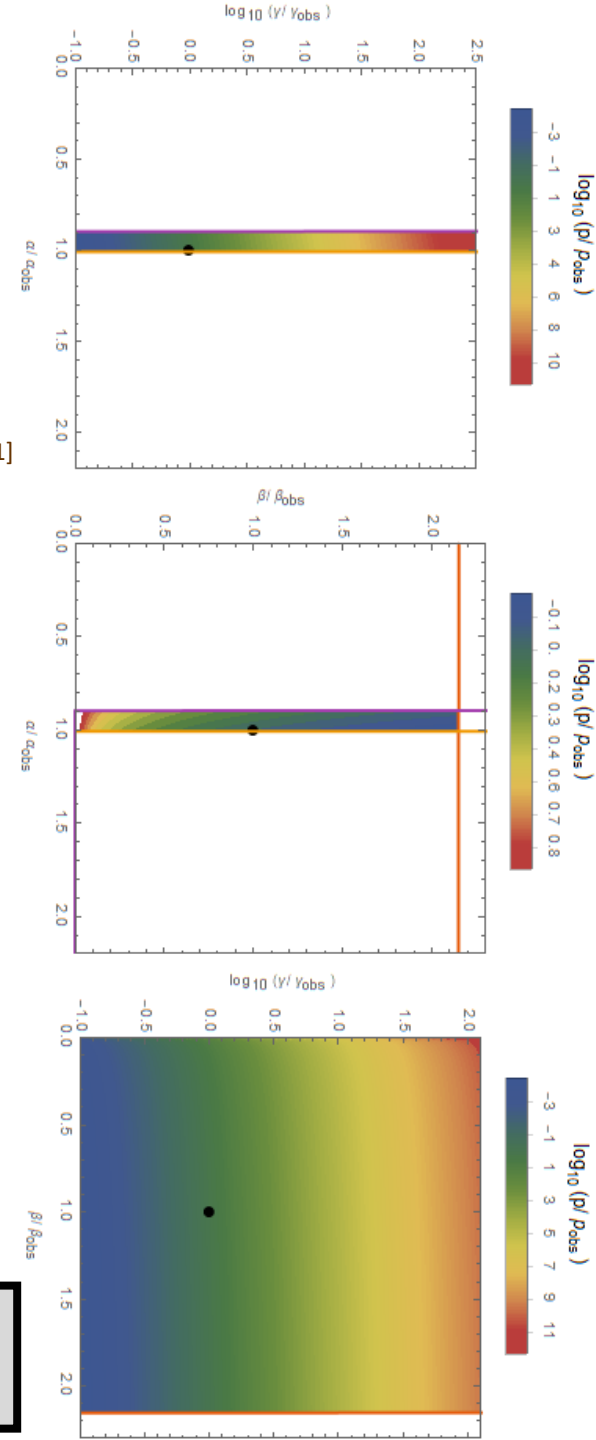
Plate Tectonics



- Created continents
- Recycles minerals into biosphere
- Stabilizing climate feedback loop [Walker, Hayes & Kasting 81]



- “A living rock”



Reliant on radioactivity, $t_{1/2} \propto e^{\frac{\alpha Z}{\sqrt{\Delta E_b}}}$

no Gyr decays unless $\frac{1}{153} < \alpha < \frac{1}{136}$

Plate tectonics should not really be essential

$$\mathbb{P}(\alpha_{obs}) = .064, \quad \mathbb{P}(\beta_{obs}) = .38, \quad \mathbb{P}(\gamma_{obs}) = .20$$

Why are we around a yellow star?

Weighting by entropy greatly favors smaller stars

$$\Delta S_{\text{tot}} \propto \frac{1}{M_{\star}^3}$$

$$P(M_{\text{sun}}) = .01\%$$

Criteria	P(M_{sun}) number	P(M_{sun}) Entropy
none	.14	.0001
TL	.84	.53
flares	.35	.02
photo (optimistic)	.31	.02
photo (pessimistic)	.56	.42

There must be something wrong with smaller stars, but what?

- Tidal locking
- Flares $P(M)=2\%$
- Photosynthesis not possible with red light

$$\lambda_{\text{TL}} \sim \alpha^{5/2} \beta^{1/2} \gamma^{-4/11}$$
$$\lambda_{\text{flares}} \sim \alpha^3 \beta \gamma^{-1/2}$$
$$\lambda_{\text{photo}} \sim \alpha^3 \beta \gamma^{-1/2}$$

Why did life take so long?

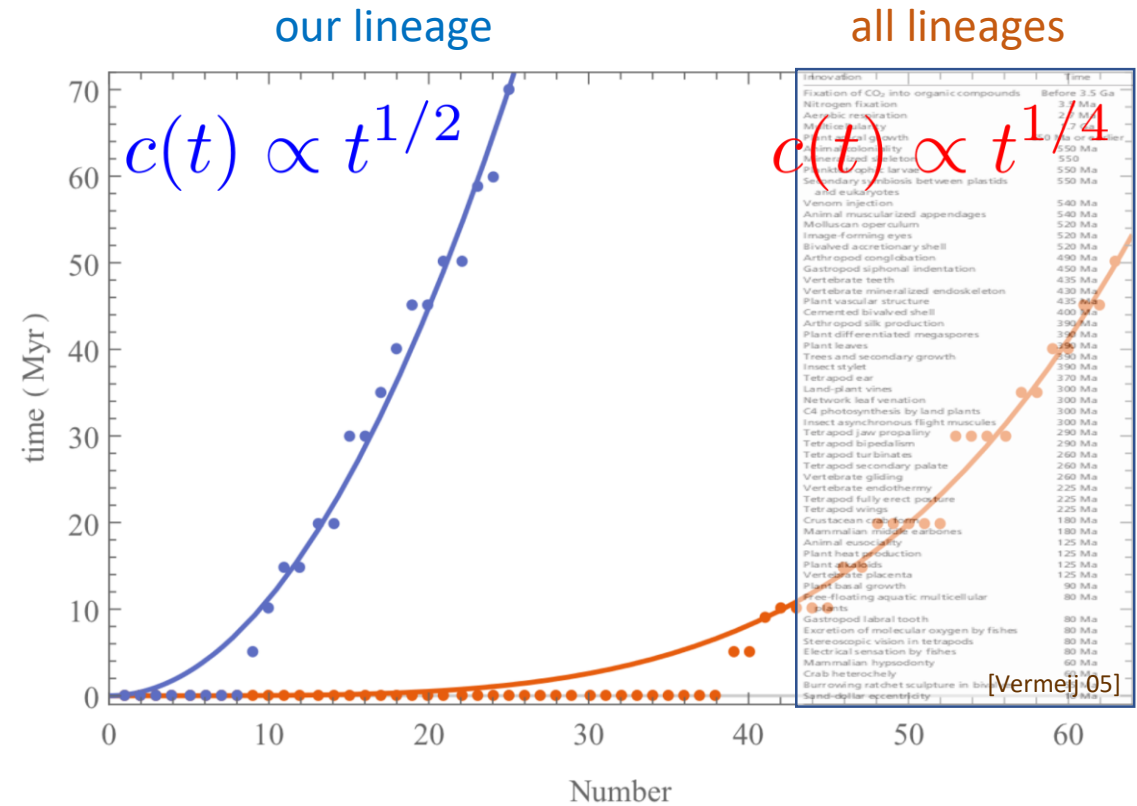
1. Hard step model: [Carter 83]

$$h(t) \propto t^{n_{\text{hard}}} \implies \langle t_{\text{int}} \rangle = \frac{n_{\text{hard}}}{n_{\text{hard}} + 1} t_{\text{hab}}$$

Sequence of hard steps:

- origin of life
- photosynthesis
- eukaryogenesis
- intelligence

[Szathmary & Maynard Smith 95]



$$\mathbb{P}(\alpha_{obs}) = .12, \quad \mathbb{P}(\beta_{obs}) = .044, \quad \mathbb{P}(\gamma_{obs}) = 2.2 \times 10^{-9}$$

The hard step model cannot be true

Why did life take so long?

2. Bated breath model: [Livio 99]

$$t_{O_2} = \frac{N_{\text{atm}}}{\Phi_{\text{XUV}} A_{\oplus}}$$

$$\frac{t_{O_2}}{t_{\star}} = f(M_{\star}), \quad f \text{ decreasing}$$

$$P(.2)_{\text{Universe}} = 56\%, \quad P(.2)_{\text{Multiverse}} = 5\%$$

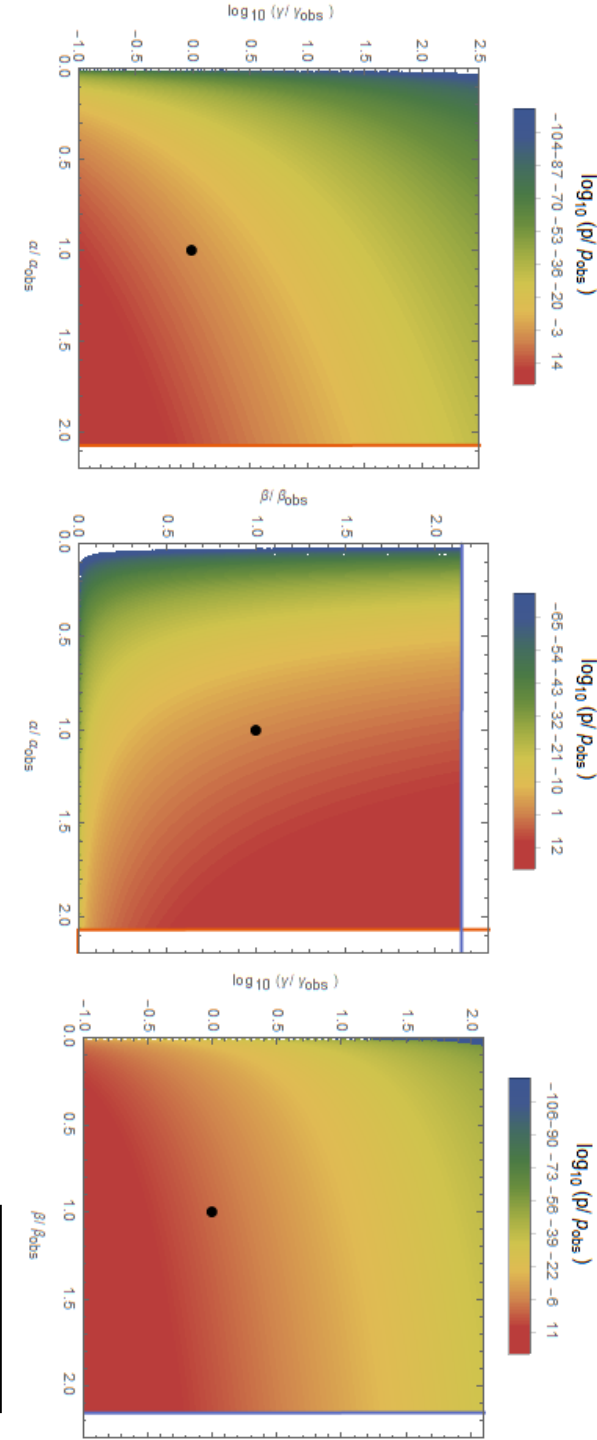
Inconsistent!

3. Easy Stroll model: [Simpson 17]

Distribution of habitable lifetimes is steep

Consistent!

Oxidation time was not the bottleneck



Conclusions

According to the multiverse, complex life:

- Should depend on stellar properties
- Does not depend on stellar lifetime
- Needs photosynthesis
- Is set by entropy production
- Does not depend on planet size
- Does not need plate tectonics
- Cannot be around red dwarfs
- Is not hard step
- Was not waiting on oxygenation

OR ELSE WE WOULD NOT LIVE IN THIS UNIVERSE

Can also include:

Obliquity, eccentricity, composition, planetary system architecture, water abundance, water properties, nutrient flux, C/O, Mg/Si, availability of P, binary stars, hot Jupiter systems, icy moons, rogue planets, location in galaxy, spiral vs elliptical, different origin of life hypotheses, extinction factors: comets, asteroids, volcanos, grbs, SN, AGN,...