



TOKYO METROPOLITAN UNIVERSITY

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# Cosmological Inflation and High-Scale SUSY as the Origin of Dark Matter

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with Alexei Starobinsky, Maxim Khlopov, Yermek Aldabergenov, Daniel Frolovsky, .....

arXiv:1011.0240, 1203.0895, 1406.0252, 1408.6524, 1607.05366, 2302.06153,  
2304.12558, 2401.11651, 2402.02934, .....

## COSMOLOGICAL INFLATION

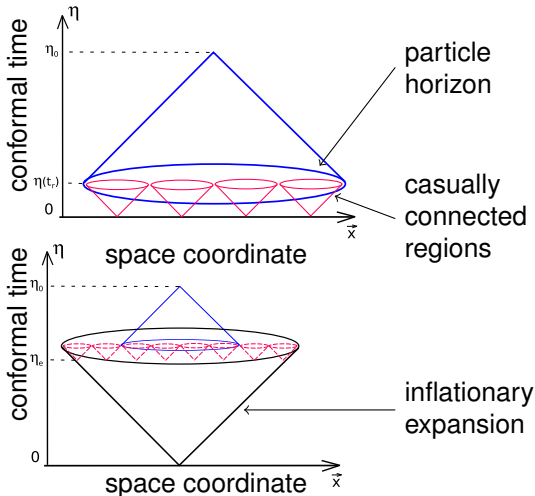
Naively, **inflation** is a proposal (cosmological paradigm) about the existence of a “short” but “**fast**” (exponential, or de-Sitter-type) **accelerated** grow of the scale factor  $a(t)$  in the **early** Universe since  $10^{-36}$  s until  $10^{-32}$  s, before the radiation-dominated era (Starobinsky, Guth, Linde, around 1981):

$$\ddot{a}(t) > 0$$

- There is the significant (indirect) evidence for the inflation because of:
  - (i) the correct prediction of **CMB fluctuations and anisotropy** (2006 Nobel prize),
  - (ii) the inflationary origin of the *large scale structure*: inflation can amplify quantum fluctuations that can be the seeds of the formation of structure. It gives us the possibility of **testing** the inflationary scenario.
- Inflation also **solves** the problems of the OLD Standard Cosmology.
- **However**, the detailed mechanism of inflation is still **unknown**.

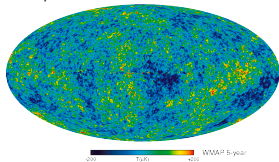
# Inflationary solution of Hot Big Bang problems

- no need for infinite density
- all scales grow exponentially, including the radius of the 3-sphere  
the Universe becomes exponentially flat
- any two particles are at exponentially large distances  
no heavy relics  
no traces of previous epochs!
- no particles in post-inflationary Universe  
to solve entropy problem we need post-inflationary reheating

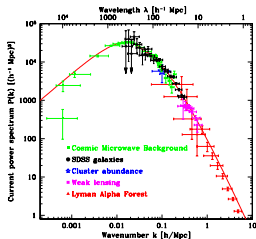


# Inflationary solution of Hot Big Bang problems

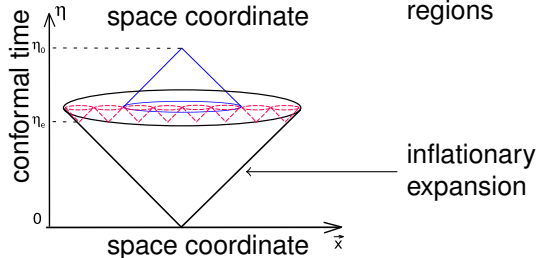
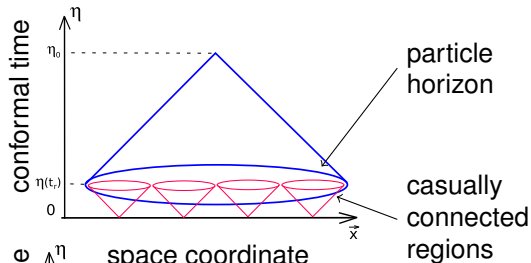
Temperature  
fluctuations  
 $\delta T/T \sim 10^{-5}$



Universe is **uniform!**



$\delta\rho/\rho \sim 10^{-5}$





## Models of inflation

- chaotic inflation
- natural inflation
- hybrid inflation
- Higgs inflation
- Starobinsky inflation
- hilltop inflation
- modular inflation
- Coleman-Weinberg inflation
- supergravity inflation
- brane inflation
- warm inflation
- tachyon inflation
- SUSY breaking inflation
- K-inflation
- string moduli inflation
- and many more!

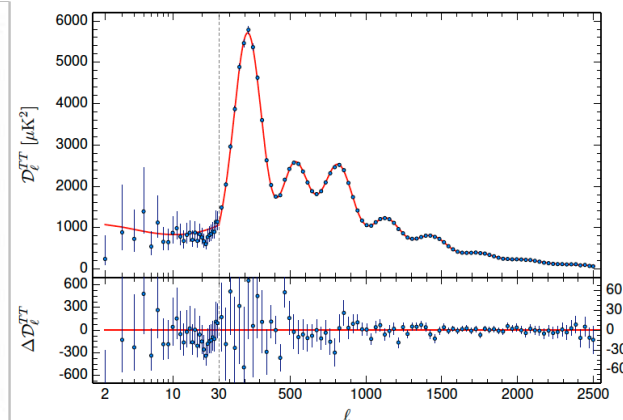
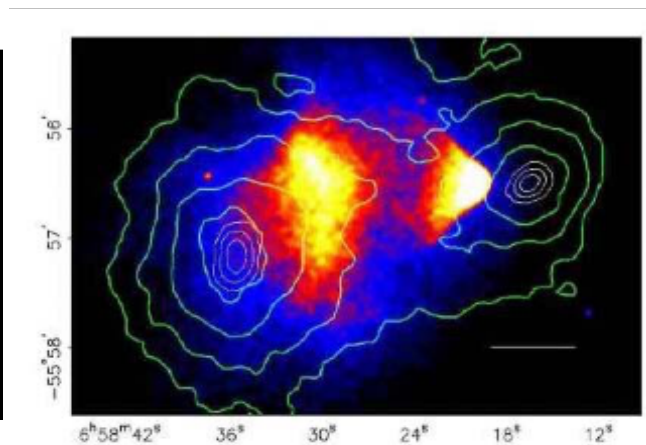
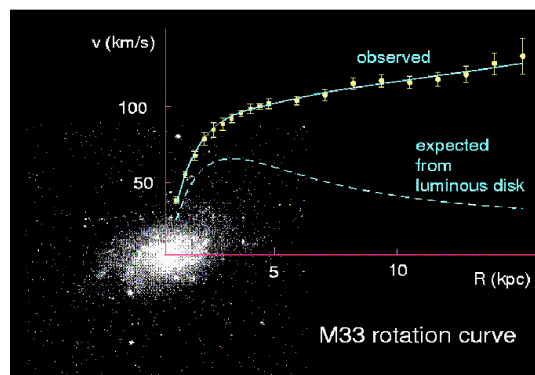
Our approach: is based on the gravitational origin of inflation, by using only gravitational interactions. It distinguishes the Starobinsky (or  $R^2$ -inflation) as the only (basic) model of inflation. I will explain why.

**Dark matter** postulated in 30's (Zwicky) – 80 years later we know very little about DM

It has **gravitational** interactions (galaxies – rotation curves- galaxy clusters - Xray, gravitational lensing)

**No** electromagnetic interactions, must be **stable** (or meta-stable)

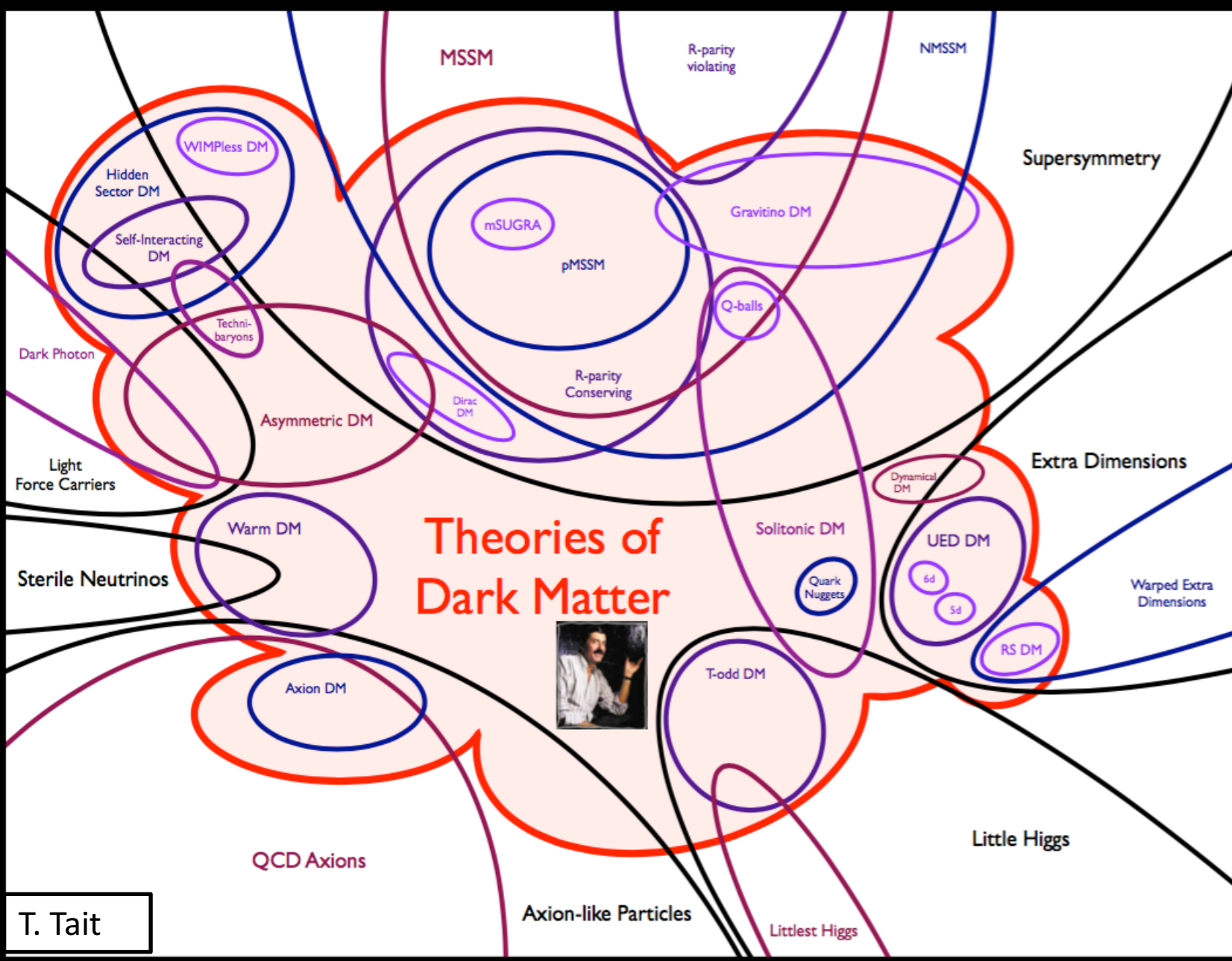
It is **cold** (or maybe warm) and collisionless (or not)



Within  $\Lambda$ CDM model – precisely know DM relic density:  $0.3\text{-}0.4 \text{ GeV}/\text{cm}^3$

$$\Omega_{\text{cdm}} h^2 = 0.1193 \pm 0.0014 \quad (\text{PLANCK} - 1502.01589)$$

# Theories of Dark Matter



T. Tait

# Leaves us with **a lot** of possibilities for dark matter

- A new particle? Composite DM? Mass scale? (Meta)**stability**!
- **WIMPs** – long time favorite :                      good theoretical motivation, typical annihilation cross-section leads to correct relic density
- WIMPs : however, **no** observational evidence despite extensive direct and indirect searches on colliders and in space
- **GIMPs** (Gravitationally Interacting Massive Particles) and
- **Non**-particle Dark Matter as **Primordial Black Holes**!

## Plan of talk

- Starobinsky (modified) gravity for inflation and quintessence
- Extension of the Starobinsky model in modified gravity for PBH
- PBH production and induced GW in the extended model
- Inflation and PBH production in Starobinsky supergravity
- Spontaneous high-scale SUSY breaking, dark energy and MSSM
- Higgs mass and dark matter
- Conclusion

## Modified gravity

- Modified gravity theories are generally-covariant **non-perturbative** extensions of Einstein-Hilbert gravity theory by the higher-order terms. These terms are irrelevant in the Solar system but are relevant in the high-curvature regimes (inflation, black holes) or for large cosmological distances (dark energy).
- A modified gravity action has **the higher-derivatives** and generically suffers from **Ostrogradsky instability and ghosts**. However, there are **exceptions**. For example, in the modified gravity Lagrangian **quadratic** in the spacetime curvature, the **only ghost-free** term is given by  $R^2$  with a **positive** coefficient. It leads to the **Starobinsky** model (1980) of modified gravity with the action

$$S_{\text{Star.}} = \alpha \int d^4x \sqrt{-g} R^2 + \frac{M_{\text{Pl}}^2}{2} \int d^4x \sqrt{-g} R, \quad \alpha \equiv \frac{M_{\text{Pl}}^2}{12M^2},$$

with the single **dimensionless** parameter  $\alpha$ , where  $M_{\text{Pl}} = 1/\sqrt{8\pi G_{\text{N}}} \approx 2.4 \times 10^{18}$  GeV, and the spacetime signature is  $(-, +, +, +)$ .



## On a possible origin of EH term

from **classically scale-invariant** gravity (*Buchbinder 1986, Einhorn, Jones 2015*)

$$S = \int d^4x \sqrt{-g} \left[ \alpha R^2 + \xi \phi^2 R - \frac{1}{2}(\partial\phi)^2 - \lambda\phi^4 \right]$$

that can undergo a phase transition (*dimensional transmutation*) due to quantum (radiative) corrections, known as the **Coleman-Weinberg** mechanism of spontaneous symmetry breaking (1973). It leads to a **massive** scalar field  $\phi$  that can be identified with **dilaton or Higgs** field with a **non-vanishing VEV** in the effective action **UV-fixed point**, as can be demonstrated perturbatively (in 1 loop). Therefore, both the Planck mass and the EH term are also generated with

$$\frac{1}{2}M_{\text{Pl}}^2 = \xi \langle \phi \rangle^2 ,$$

though it **cannot** be served as a full **UV-completion** of EH or Starobinsky gravity.

## Starobinsky attractor solution

- In a **flat FLRW** universe  $ds^2 = -dt^2 + a^2 (dx_1^2 + dx_2^2 + dx_3^2)$ , the **EoM** read

$$2H\ddot{H} - (\dot{H})^2 + H^2 (6\dot{H} + M^2) = 0, \quad \text{where } H = \dot{a}/a.$$

Using an *ansatz* in the form of **left Painlevé series**  $H(t) = \sum_{k=-\infty}^{k=p} c_k (t_0 - t)^k$ , we find

$$H(t) = \frac{M^2}{6}(t_0 - t) + \frac{1}{6(t_0 - t)} - \frac{4}{9M^2(t_0 - t)^3} + \frac{146}{45M^4(t_0 - t)^5} - \frac{11752}{315M^6(t_0 - t)^7} + \mathcal{O}((t_0 - t)^{-9})$$

valid for  $M(t_0 - t) \gg 1$ . This solution is an **attractor**, it does not have a movable singularity and describes (formally) eternal inflation up to  $t \rightarrow -\infty$ .

## Starobinsky model of inflation

- In the **high-curvature** regime, the EH term can be ignored and the pure  $R^2$ -action becomes **scale-invariant**.
- In the the slow roll-approximation with  $|\dot{H}| \ll |H\dot{H}|$  and  $|\dot{H}| \ll H^2$ ,

$$H(t) \approx \left( \frac{M^2}{6} \right) (t_0 - t) .$$

This solution **spontaneously** breaks the scale invariance of the  $R^2$ -gravity and implies an existence of the **Nambu-Goldstone** boson called **scalaron**.

- Scalaron is the physical (scalar) excitation of the higher-derivative gravity. It can be revealed by rewriting the Starobinsky action into the **quintessence** form by the field redefinition (**Legendre-Weyl** transform)

*K.-I. Maeda (1989)*

$$\varphi = \sqrt{\frac{3}{2}} M_{\text{Pl}} \ln F'(\chi) \quad \text{and} \quad g_{\mu\nu} \rightarrow \frac{2}{M_{\text{Pl}}^2} F'(\chi) g_{\mu\nu} , \quad \chi = R ,$$

Scale invariance in Jordan frame = Shift symmetry in Einstein frame !

which leads to

$$S[g_{\mu\nu}, \varphi] = \frac{M_{\text{Pl}}^2}{2} \int d^4x \sqrt{-g} R - \int d^4x \sqrt{-g} \left[ \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi + V(\varphi) \right] ,$$

with the potential  $V(\varphi) = \frac{3}{4} M_{\text{Pl}}^2 M^2 \left[ 1 - \exp \left( -\sqrt{\frac{2}{3}} \varphi / M_{\text{Pl}} \right) \right]^2 \equiv V_0 [1 - y]^2$ , suitable for describing **slow-roll** inflation with scalaron  $\varphi$  as the **inflaton** of mass  $m$  due to the infinite **plateau** of the positive height  $\approx V_0$  for  $y \ll 1$ .

- The **UV cutoff** is  $\Lambda_{\text{UV}} = M_{\text{Pl}}$  (*Hertzberg, 2010*). The higher-order curvature terms are **suppressed** by powers of  $H^2/M_{\text{Pl}}^2$  relative to Starobinsky. A string theory derivation of the Starobinsky inflation is unknown.

- The **inverse** transform from quintessence to  $F(R)$  gravity reads

$$R = \left( \frac{\sqrt{6}}{M_{\text{Pl}}} \frac{dV}{d\varphi} + \frac{4V}{M_{\text{Pl}}^2} \right) e^{\sqrt{\frac{2}{3}} \varphi / M_{\text{Pl}}} , \quad F = \left( \frac{\sqrt{6}}{M_{\text{Pl}}} \frac{dV}{d\varphi} + \frac{2V}{M_{\text{Pl}}^2} \right) e^{2\sqrt{\frac{2}{3}} \varphi / M_{\text{Pl}}} .$$

## Starobinsky model (1980) and CMB measurements (2020)

The very simple Starobinsky model of inflation is **in excellent agreement** with the current CMB measurements (Planck, BICEP/Keck).

A duration of inflation is usually measured by the **e-foldings** number

$$N = \int_{t_*}^{t_{\text{end}}} H(t) dt \approx \frac{1}{M_{\text{Pl}}^2} \int_{\varphi_{\text{end}}}^{\varphi_*} \frac{V}{V'} d\varphi \ .$$

The standard **slow roll parameters** are defined by

$$\varepsilon_{\text{sr}}(\varphi) = \frac{M_{\text{Pl}}^2}{2} \left( \frac{V'}{V} \right)^2 \quad \text{and} \quad \eta_{\text{sr}}(\varphi) = M_{\text{Pl}}^2 \left( \frac{V''}{V} \right) \ .$$

The **amplitude** of **scalar** (curvature) perturbations at the horizon crossing with the pivot scale  $k_* = 0.05 \text{ Mpc}^{-1}$  is determined by the **WMAP normalization**,

$$A_s = \frac{V_*^3}{12\pi^2 M_{\text{Pl}}^6 (V_*')^2} = \frac{3M^2}{8\pi^2 M_{\text{Pl}}^2} \sinh^4 \left( \frac{\varphi_*}{\sqrt{6} M_{\text{Pl}}} \right) \approx 1.96 \cdot 10^{-9}$$

that implies **no free parameters** in the Starobinsky model,

$$M \approx 3 \cdot 10^{13} \text{ GeV} \quad \text{or} \quad \frac{M}{M_{\text{Pl}}} \approx 1.3 \cdot 10^{-5}, \quad \text{and} \quad H \approx \mathcal{O}(10^{14}) \text{ GeV}.$$

*No free parameters but still **two predictions!***

The CMB measurements give the tilt of **scalar** perturbations  $n_s \approx 1 + 2\eta_{\text{sr}} - 6\varepsilon_{\text{sr}} \approx 0.9649 \pm 0.0042$  (68%CL) and restrict the **tensor-to-scalar ratio** as  $r \approx 16\varepsilon_{\text{sr}} < 0.032$  (95%CL). The Starobinsky inflation gives  $r \approx 12/N^2 \approx 0.003$  and  $n_s \approx 1 - 2/N$ , with the **best** fit at  $N \approx 55$ . *The prediction is  $r=3(1-n_s)^2$  and it is the main target for the LiteBIRD and Simons Observatory projects.*



## Starobinsky inflation and Swampland Conjectures

about consistency with quantum gravity by pure thoughts aimed to discriminate between EFT (*Vafa, Palti, Valenzuela et al., from 2018*).

- *No exact global symmetries in quantum gravity*: Starobinsky inflation merely implies the **approximate** scale invariance for **limited** field values.
- *No de Sitter and no eternal inflation*: the Starobinsky infinite plateau is **destabilized by any** higher-order terms beyond  $R^2$  in the gravitational EFT, which are certainly present there.
- *Weak gravity Conjecture* means gravity is the **weakest** force, e.g., against electromagnetic force: during inflation there may be **no charged particles** yet (they appeared during reheating and after inflaton decay). Also, in the Einstein frame, inflation was due to the **inflaton force** (from the inflaton potential).

## Power spectrum of perturbations

Primordial **scalar** perturbations ( $\zeta$ ) and **tensor** perturbations  $g$  (primordial GW) are defined by a perturbed FLRW metric,

$$ds^2 = dt^2 - a^2(t) \left( \delta_{ij} + h_{ij}(\vec{r}) \right) dx^i dx^j, \quad i, j = 1, 2, 3,$$

where

$$h_{ij}(\vec{r}) = 2\zeta(\vec{r})\delta_{ij} + \sum_{b=1,2} g^{(b)}(\vec{r})e_{ij}^{(b)}(\vec{r}), \quad H = \frac{da/dt}{a},$$

in terms of a local basis  $e^{(b)}$  with  $e_i^{i(b)} = 0$ ,  $g_{ij}^{(b)} e_i^{j(b)} = 0$ ,  $e_{ij}^{(b)} e^{ij(b)} = 1$ .

The primordial **spectrum**  $P_\zeta(k)$  of **scalar** (density) perturbations is defined by the 2-point correlation function of scalar perturbations,

$$\left\langle \frac{\delta\zeta(x)}{\zeta} \frac{\delta\zeta(y)}{\zeta} \right\rangle = \int \frac{d^3k}{k^3} e^{ik \cdot (x-y)} \frac{P_\zeta(k)}{P_0}.$$

For instance, the **observed CMB** power spectrum is described by the **Harrison-Zeldovich** fit,

$$P_{\zeta}^{\text{HZ}}(k) \approx 2.21_{-0.08}^{+0.07} \times 10^{-9} \left( \frac{k}{k_*} \right)^{n_s-1}$$

with the pivot scale  $k_* = 0.05 \text{ Mpc}^{-1}$ . In the **slow-roll** (SR) approximation, relevant for inflation, one finds

$$P_{\zeta} = \frac{H^2}{8M_{\text{Pl}}^2 \pi^2} \left( \frac{1}{\epsilon_{\text{SR}}} \right) .$$

Therefore, it is possible to generate a **large peak** (enhancement) in the power spectrum by engineering  $\epsilon_{\text{SR}} \rightarrow 0$ , called the **ultra-slow-roll (USR) regime** or the PBH production mechanism based a **near-inflection point** in the potential. This implies the **double inflation** scenario (SR  $\rightarrow$  USR  $\rightarrow$  SR) with **two** plateaus in the potential  $V(\varphi)$  and in the Hubble function  $H(t)$ . **Warning:** USR is not SR !  
*A large peak means **large** density perturbations that later gravitationally collapse to **PBH** !*

## USR regime

To study the SR and USR regimes, we introduce the [Hubble flow functions](#)

$$\epsilon(t) = -\frac{\dot{H}}{H^2} , \quad \eta(t) = \epsilon_H - \frac{\dot{\epsilon}_H}{2H\epsilon_H} .$$

During the USR regime, the function  $\epsilon(t)$  drops to **very low** values, whereas the function  $\eta(t)$  goes from nearly zero to  $(-6)$  and back.

A standard (numerical) procedure for computing the power spectrum  $P_\zeta(k)$  of scalar perturbations depending upon scale (co-moving wavenumber)  $k = 2\pi/\lambda$  is based on the [Mukhanov-Sasaki](#) (MS) equation. The SR approximation in single-field inflation gives the **simple** formula  $P_\zeta = \frac{H^2}{8\pi^2 M_{\text{Pl}}^2 \epsilon_H}$ . We found that the difference between our numerical solutions to the MS equation and those derived from the SR formula is very **small**.

## PBH masses

PBH may be formed by **gravitational collapse** of **large** density perturbations (Carr, Hawking, 1974). The masses of PBH can be estimated from given peaks (power spectrum enhancement) as follows (*Pi, Sasaki, 2017*):

$$M_{\text{PBH}} \simeq \frac{M_{\text{Pl}}^2}{H(t_{\text{peak}})} \exp \left[ 2(N_{\text{total}} - N_{\text{peak}}) + \int_{t_{\text{peak}}}^{t_{\text{total}}} \varepsilon(t) H(t) dt \right]$$

that is very sensitive to the value of  $\Delta N = N_{\text{total}} - N_{\text{peak}}$ , while the integral gives a sub-leading correction. **Increasing**  $\Delta N$  leads to **decreasing** the tilt  $n_s$  of CMB, which limits  $\Delta N$  by 20 from above. On the other hand,  $\Delta N$  cannot be too small when  $M_{\text{PBH}}$  have to exceed the Hawking (black hole) **evaporation** limit of  $10^{15}$  g, which restricts  $\Delta N$  from below (above 13).

*Our model of inflation and PBH production is displayed on the next slide.*

After fine-tuning parameters in our model, we obtained the PBH masses in the **asteroid-size** range between  $10^{17}$  g and  $10^{21}$  g. **Compare**  $M_{\odot} \approx 2 \cdot 10^{33}$  g.

## PBH production in the modified Starobinsky model of inflation

We propose the modified **Appleby-Battye-Starobinsky** (ABS) model (2010) of  $F(R)$  gravity for that purpose, defined by the smooth  $F$ -function

$$F(R) = (1 - g_1)R + gE_{AB} \ln \left[ \frac{\cosh \left( \frac{R}{E_{AB}} - b \right)}{\cosh(b)} \right] + \frac{R^2}{6M^2} - \delta \frac{R^4}{48M^6} ,$$

where  $g_1 = -g \tanh b$ ,  $g \approx 2.25$  and  $b \approx 2.89$ ,  $0 < \delta < 4 \cdot 10^{-6}$ , and

$$E_{AB} = \frac{R_0}{2g \ln(1 + e^{2b})} \quad \text{with} \quad R_0 \approx 3M^2, \quad M \sim 10^{-5} M_{\text{Pl}} .$$

It is **consistent** with Starobinsky inflation and CMB measurements, has **no ghosts** ( $F'(R) > 0$ ,  $F''(R) > 0$ ), and the corresponding inflaton potential has **two plateaus**, leading to a **large peak** in the power spectrum. The last term can be interpreted as a quantum correction.



## Consistency with CMB, and PBH masses

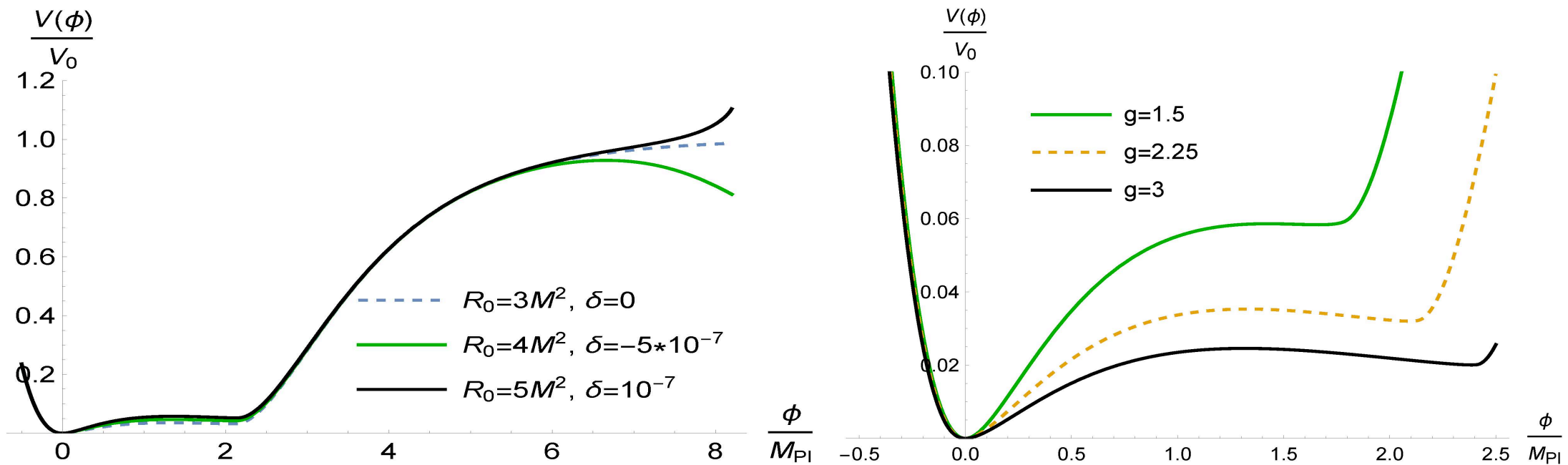
Demanding:

- (i) a **large** enhancement (peak) in the power spectrum by the factor of  $10^7$  against the CMB level of  $10^{-9}$ ,
- (ii) **consistency** with the latest CMB measurements,  
 $n_s = 0.9649 \pm 0.0042$  (within  $1\sigma$ ) and  $r < 0.032$ , and
- (iii) PBH masses **beyond**  $10^{15}$  g,

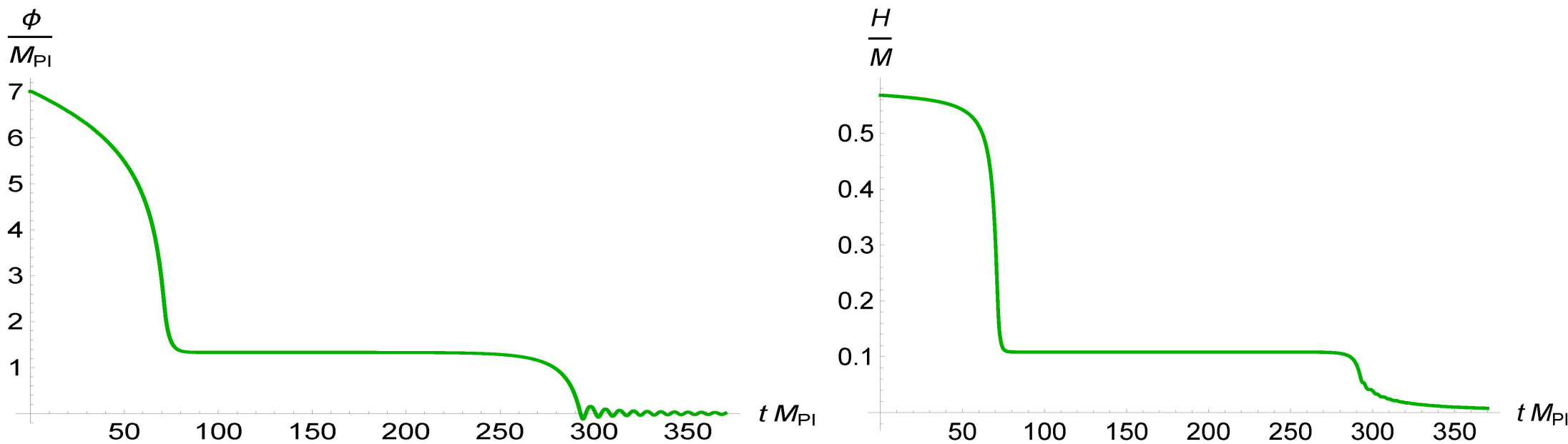
we found  $\Delta N$  must be **restricted** between 17 and 22 e-folds, while the total duration of inflation is between 54 and 66 e-folds.

The **possible** range of the parameter  $\delta$  is between  $1.02 \cdot 10^{-8}$  and  $8.74 \cdot 10^{-8}$ . The **PBH masses** found are between  $10^{17}$  g and  $10^{21}$  g, i.e. of the asteroid-size masses.

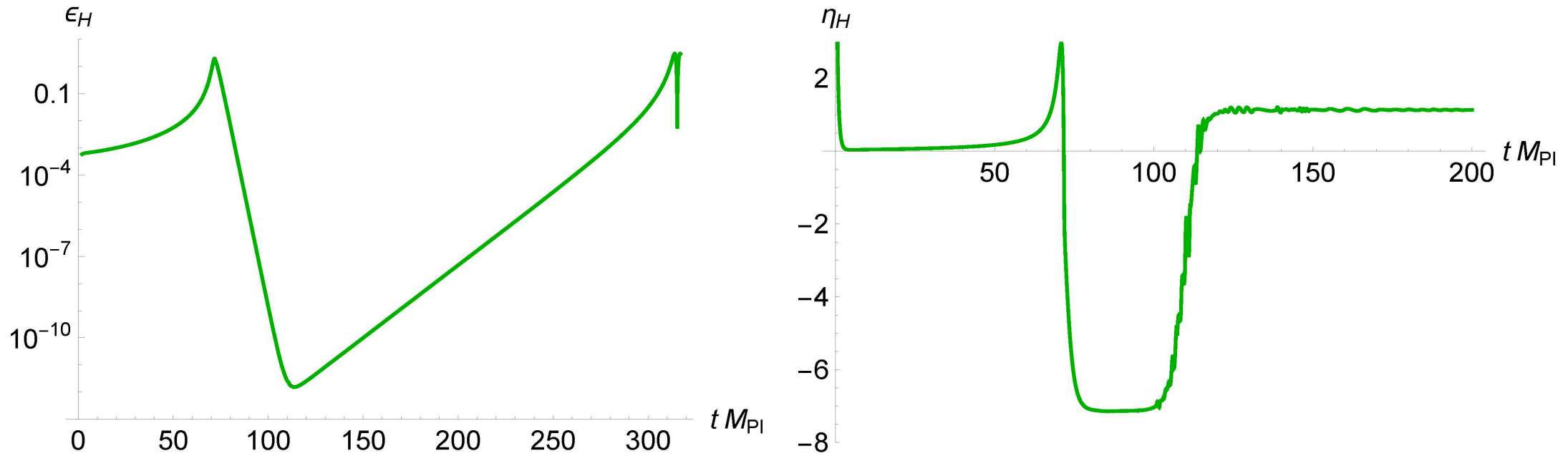
# Numerical results



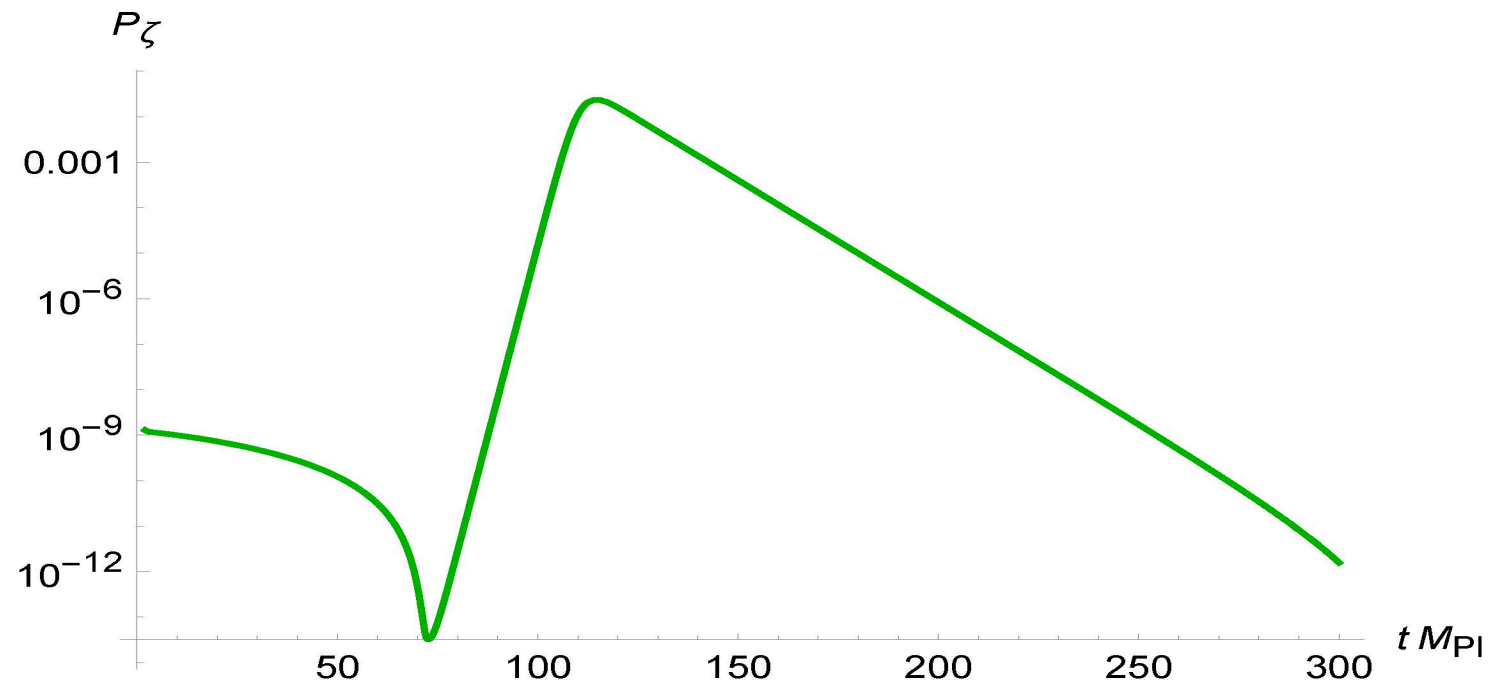
Potential and dynamics



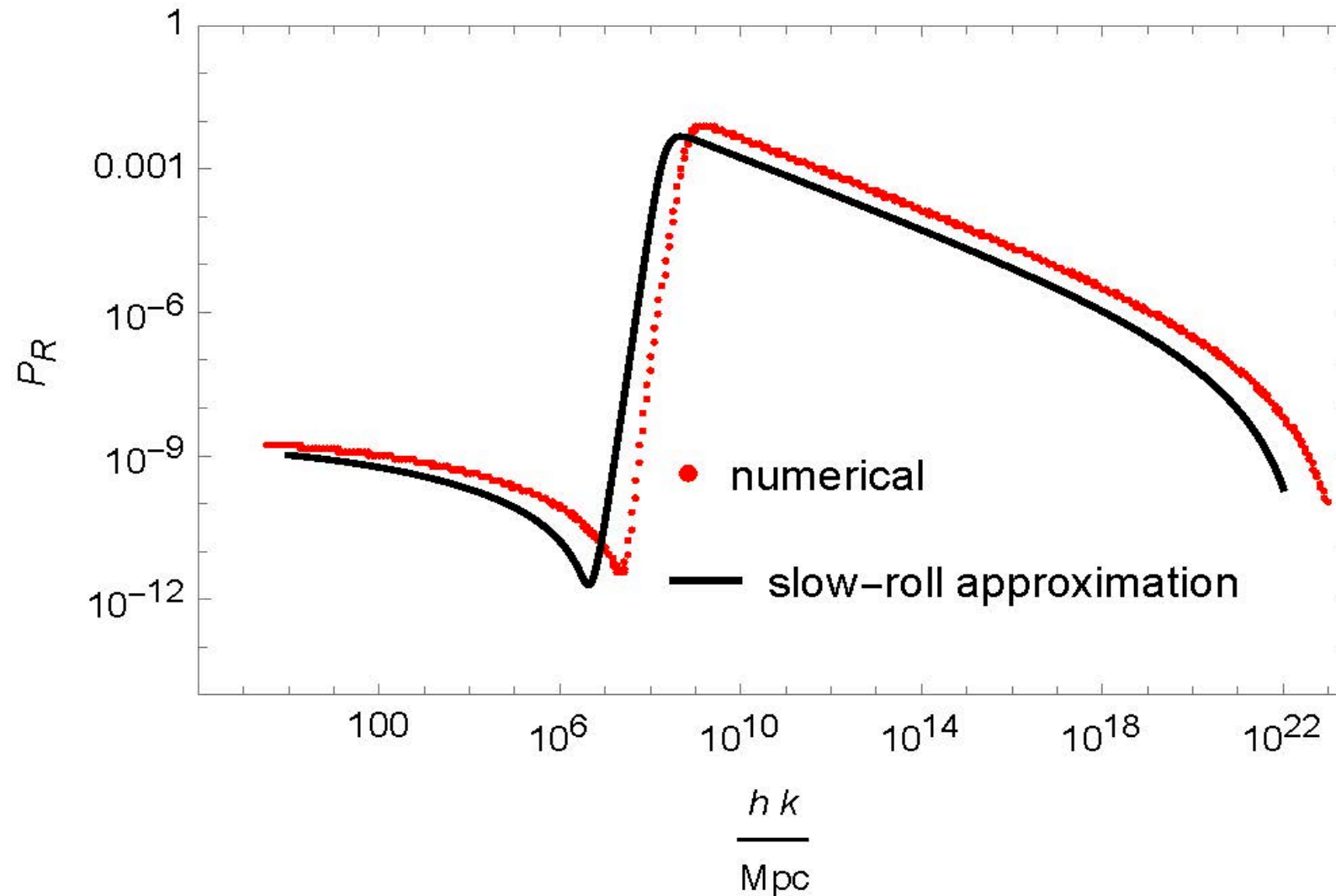
## Numerical results



Hubble flow parameters and power spectrum



Comparison of our results from the Mukhanov-Sasaki equation for perturbations and from the slow-roll approximation formula



## Energy density of PBH induced GW

The **present-day** GW density function  $\Omega_{\text{GW}}$  in the **2nd order** with respect to perturbations is given by (*Espinosa, Racco, Riotto, 2018*)

$$\frac{\Omega_{\text{GW}}(k)}{\Omega_r} = \frac{c_g}{72} \int_{-\frac{1}{\sqrt{3}}}^{\frac{1}{\sqrt{3}}} dd \int_{\frac{1}{\sqrt{3}}}^{\infty} ds \left[ \frac{(s^2 - \frac{1}{3})(d^2 - \frac{1}{3})}{s^2 + d^2} \right]^2 \times P_\zeta(kx) P_\zeta(ky) (I_c^2 + I_s^2) ,$$

where the constant  $c_g \approx 0.4$  in the SM, and  $\Omega_r = 8.6 \cdot 10^{-5}$  according to the present CMB temperature.

The variables  $(x, y)$  are related to the integration variables  $(s, d)$  as

$$x = \frac{\sqrt{3}}{2}(s + d) , \quad y = \frac{\sqrt{3}}{2}(s - d) .$$

The functions  $I_c$  and  $I_s$  of  $x(s, d)$  and  $y(s, d)$  are (Espinosa, Racco, Riotto, 2018)

$$I_c = -36\pi \frac{(s^2 + d^2 - 2)^2}{(s^2 - d^2)^3} \theta(s - 1) ,$$

$$I_s = -36 \frac{s^2 + d^2 - 2}{(s^2 - d^2)^2} \left[ \frac{s^2 + d^2 - 2}{s^2 - d^2} \ln \left| \frac{d^2 - 1}{s^2 - 1} \right| + 2 \right] .$$

In our models,  $\Omega^{\text{GW}}(k) \sim 10^{-6} P_R^2(k)$ . **Frequencies** of PBH-induced GW are simply related to PBH masses as (*De Luca, Franciolini, Riotto, 2020*)

$$f \approx 5.7 \left( \frac{M_\odot}{M_{\text{PBH}}} \right)^{1/2} 10^{-9} \text{ Hz}$$

that implies  $\sim 10^{-3}$  Hz in our models, **cf.** **NANOGrav** GW frequencies of 3 to 400 nHz.



## Intermediate Summary of the 1st Part

- The Starobinsky model of inflation in 4D is defined by

$$S_{\text{Star.}}[g_{\mu\nu}] = \alpha \int d^4x \sqrt{-g} R^2 + \frac{M_{\text{Pl}}^2}{2} \int d^4x \sqrt{-g} R, \quad \alpha \equiv \frac{M_{\text{Pl}}^2}{12M^2},$$

with  $M_{\text{inf.}} \sim 10^{-5} M_{\text{Pl}}$ ,  $\alpha \sim 10^9$ ,  $H \sim 10^{14}$  GeV and  $R \sim 12H^2$ .

- in good agreement with CMB observables ( $A_s, n_s, r$ ), and  $\Lambda_{UV} \sim M_{\text{Pl}}$ .
- is equivalent to quintessence (Einstein frame) with the inflaton potential

$$V(\varphi) = \frac{3}{4} M_{\text{Pl}}^2 M^2 \left[ 1 - \exp \left( -\sqrt{\frac{2}{3}} \varphi / M_{\text{Pl}} \right) \right]^2$$

- $V$ -flatness during inflation is due to scale invariance of the  $R^2$  action,
- EH-term can be generated by radiative corrections *a la* Coleman-Weinberg,
- it is single-large-field inflation model with inflaton field values  $\phi \sim M_{\text{Pl}}$ ,

- the **higher-order curvature** terms (beyond Starobinsky) are in powers of  $H^2/M_{\text{Pl}}^2 \sim 10^{-8}$ ; **no** control of their coefficients **but** CMB observations imply those terms to be **sub-leading** during inflation,
- via modifying  $F(R)$ -function or  $V(\phi)$ , it is possible to generate **large** scalar perturbations at **lower** scales, leading to **PBH production** with masses  $M_{\text{PBH}} > 10^{15}$  g, while keeping good agreement with CMB,
- the scenario is SR-USR-SR with two plateaus; the mechanism is via a **near-inflection point** in  $V(\phi)$  leading to a **large** ( $\times 10^7$ ) peak in the power spectrum, with the PBH masses in the **asteroid-window** between  $10^{17}$  g and  $10^{21}$  g,
- **fine-tuning** of some parameters in modified  $F(R)$  or  $V(\phi)$  is **necessary**.

## Modified supergravity

Modified supergravity is the (old-minimal)  $N = 1$  local SUSY extension of the  $(R + \alpha R^2)$  gravity. **Manifest** SUSY is achieved by using **curved superspace**. A generic action is given by a sum of **D-type** and **F-type** terms,

$$S = \int d^4x d^4\theta E^{-1} N(\mathcal{R}, \bar{\mathcal{R}}) + \left[ \int d^4x d^2\Theta 2\mathcal{E} F(\mathcal{R}) + h.c \right] ,$$

where the covariantly **chiral** superfield  $\mathcal{R}$  has the spacetime scalar curvature  $R$  among its field component. *See also Dalianis, Farakos, Kehagias, Riotto, Unge (2015).*

The Starobinsky **inflation scale**  $H \sim 10^{14}$  GeV (close to the GUT scale) is the scale where SUSY is expected to play a significant role.

The F-term can be included into the D-term (except a constant). We distinguish them by collecting the R-symmetry **preserving** terms in the  $N$ -potential, and the R-symmetry **violating** terms in the F-potential. *The latter are dangerous for gravitino.*

## Field content of modified supergravity

- vierbein  $e_{\mu}^a$ , gravitino  $\psi_{\mu}$ , complex scalar  $X$ , and real vector  $b_{\mu}$ ,
- form the **irreducible** (off-shell) supergravity multiplet with **linearly** realized SUSY and **closed** SUSY algebra,
- the fields  $(X, b_{\mu})$  are known as the **"auxiliary"** fields of the old-minimal supergravity (in the textbooks),
- but in **modified** supergravity (the higher-derivative field theory beyond supergravity textbooks) all these "auxiliary" fields become **physical** (propagating).
- There are **4** physical scalars in modified supergravity: scalaron  $\varphi$ , complex scalar  $X$  and  $\hat{D}_{\mu}b^{\mu}/M$  with the nearly equal effective masses of the order  $M$ .

## Embedding Starobinsky model

Addazi, Ketov, 2017

Expand the functions  $N$  and  $\mathcal{F}$  in **Taylor series** and keep only a few *leading* terms, ( $M_{\text{Pl}} = 1$ ),

$$N = \frac{12}{M^2} \mathcal{R} \bar{\mathcal{R}} - \frac{\xi}{2} (\mathcal{R} \bar{\mathcal{R}})^2, \quad \mathcal{F} = \alpha + 3\beta \mathcal{R},$$

with real parameters  $M$  and  $\xi$ , and complex parameters  $\alpha$  and  $\beta$ .

- The **chiral** superfields  $\mathcal{R}$  and  $\mathcal{E}$  read

$$\begin{aligned} \mathcal{R} = & X + \Theta \left( -\frac{1}{6} \sigma^m \bar{\sigma}^n \psi_{mn} - i \sigma^m \bar{\psi}_m X - \frac{i}{6} \psi_m b^m \right) + \\ & + \Theta^2 \left( -\frac{1}{12} R - \frac{i}{6} \bar{\psi}^m \bar{\sigma}^n \psi_{mn} - 4X \bar{X} - \frac{1}{18} b_m b^m + \frac{i}{6} \nabla_m b^m + \right. \\ & \left. + \frac{1}{2} \bar{\psi}_m \bar{\psi}^m X + \frac{1}{12} \psi_m \sigma^m \bar{\psi}_n b^n - \frac{1}{48} \varepsilon^{abcd} (\bar{\psi}_a \bar{\sigma}_b \psi_{cd} + \psi_a \sigma_b \bar{\psi}_{cd}) \right), \\ 2\mathcal{E} = & e \left[ 1 + i \Theta \sigma^m \bar{\psi}_m + \Theta^2 (6\bar{X} - \bar{\psi}_m \bar{\sigma}^{mn} \bar{\psi}_n) \right], \end{aligned}$$

- The **standard** supergravity is **reproduced** when  $N = 0$  and  $\mathcal{F} = -3\mathcal{R}$ .
- Starobinsky inflation **is** realized when  $\alpha = 0$ ,  $\beta = -3$ , and  $M$  equals to the **scalon mass**, and dynamics of  $(X, b)$  is suppressed ( $\xi > 0$  is needed).

## Effective two-scalar field Lagrangian

*Aldabergenov, Addazi, Ketov, 2020*

In the notation

$$\frac{M^4 \xi}{144} \equiv \zeta \quad \text{and} \quad |X| \equiv \frac{M}{2\sqrt{6}} \sigma ,$$

where  $\sigma$  is the **radial** part of the complex scalar  $X$ , after ignoring its angular part together with  $b_m = 0$  for simplicity, the **bosonic** part of the Lagrangian in our model takes the form

$$e^{-1} \mathcal{L} = \frac{1}{2} f(R, \sigma) - \frac{1}{2} (1 - \zeta \sigma^2) (\partial \sigma)^2 - U ,$$

where we have the **specific** functions dictated by modified supergravity,

$$f(R, \sigma) = \left( 1 + \frac{1}{6} \sigma^2 - \frac{11}{24} \zeta \sigma^4 \right) R + \frac{1}{6M^2} (1 - \zeta \sigma^2) R^2 ,$$
$$U = \frac{1}{2} M^2 \sigma^2 \left( 1 - \frac{1}{6} \sigma^2 + \frac{3}{8} \zeta \sigma^4 \right) .$$

(Standard) transfer to Einstein frame in field components

After introducing the auxiliary field  $\chi$  and rewriting the Lagrangian as

$$e^{-1}\mathcal{L} = \frac{1}{2} [f_\chi(R - \chi) + f] - \frac{1}{2}(1 - \zeta\sigma^2)(\partial\sigma)^2 - U ,$$

where  $f_\chi \equiv \frac{\partial f}{\partial \chi}$  and in  $f \equiv f(\chi, \sigma)$ ,  $R$  was replaced by  $\chi$ , varying w.r.t.  $\chi$  gives back the initial Lagrangian. On the other hand, after **Weyl** rescaling,

$$g_{mn} \rightarrow f_\chi^{-1} g_{mn} , \quad e \rightarrow f_\chi^{-2} e , \quad ef_\chi R \rightarrow eR - \frac{3}{2}ef_\chi^{-2}(\partial f_\chi)^2 ,$$

with

$$f_\chi = A + B\chi \quad A \equiv 1 + \frac{1}{6}\sigma^2 - \frac{11}{24}\zeta\sigma^4 , \quad B \equiv \frac{1}{3M^2}(1 - \zeta\sigma^2) ,$$

in terms of the **canonically** normalized scalaron  $\varphi$  defined by

$$f_\chi = \exp \left[ \sqrt{\frac{2}{3}}\varphi \right] , \quad \chi = \frac{1}{B} \left( e^{\sqrt{\frac{2}{3}}\varphi} - A \right) , \quad f = \frac{1}{2B} \left( e^{2\sqrt{\frac{2}{3}}\varphi} - A^2 \right) ,$$

the Lagrangian **in Einstein frame** takes the form

$$e^{-1}\mathcal{L} = \frac{1}{2}R - \frac{1}{2}(\partial\varphi)^2 - \frac{1}{2}(1 - \zeta\sigma^2)e^{-\sqrt{\frac{2}{3}}\varphi}(\partial\sigma)^2 - V ,$$

whose **two-field** scalar potential reads

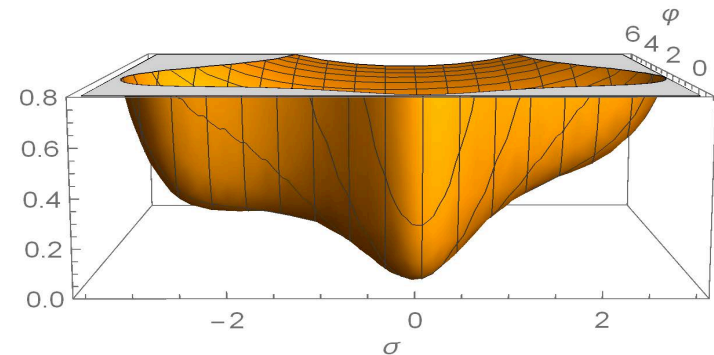
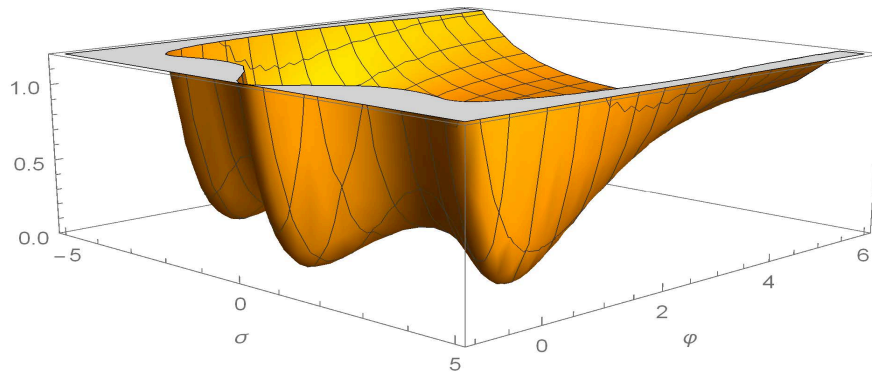
$$\begin{aligned}
 V &= \frac{1}{4B} \left( 1 - Ae^{-\sqrt{\frac{2}{3}}\varphi} \right)^2 + e^{-2\sqrt{\frac{2}{3}}\varphi} U = \\
 &= \frac{3M^2}{4(1 - \zeta\sigma^2)} \left[ 1 - e^{-\sqrt{\frac{2}{3}}\varphi} - \frac{\sigma^2}{6} \left( 1 - \frac{11}{4}\zeta\sigma^2 \right) e^{-\sqrt{\frac{2}{3}}\varphi} \right]^2 \\
 &\quad + \frac{M^2}{2} e^{-2\sqrt{\frac{2}{3}}\varphi} \sigma^2 \left( 1 - \frac{1}{6}\sigma^2 + \frac{3}{8}\zeta\sigma^4 \right) .
 \end{aligned}$$

When  $\sigma^2 > 1/\zeta$ , the scalar  $\sigma$  becomes **a ghost**. However, when approaching  $\sigma^2 = 1/\zeta$ , the scalar potential becomes **singular**, so that it would take the **infinite** amount of energy to turn  $\sigma$  into a ghost (assuming its starting value in the region  $\sigma^2 < 1/\zeta$ ).



## Scalar potential in Einstein frame

$$V = \frac{1}{4B} (1 - Ax)^2 + x^2 U, \quad e^{-\sqrt{\frac{2}{3}}\varphi} \equiv x, \quad \begin{cases} A = 1 + \frac{1}{6}\sigma^2 - \frac{11}{24}\zeta\sigma^4, \\ B = \frac{1}{3M^2}(1 - \zeta\sigma^2), \\ U = \frac{M^2}{2}\sigma^2 \left(1 - \frac{1}{6}\sigma^2 + \frac{3}{8}\zeta\sigma^4\right). \end{cases}$$



The scalar potential on the left with  $\zeta = 1/54 \approx 0.019$  and three Minkowski minima; on the right with  $\zeta = 0.027$ , a single Minkowski minimum at  $\sigma = 0$  and two inflection points. In both cases  $M = 1$ .

## Two-field scalar Lagrangian

takes the form of a **non-linear sigma-model** (NLSM) minimally coupled to gravity,

$$e^{-1}\mathcal{L} = \frac{1}{2}R - \frac{1}{2}G_{AB}\partial\phi^A\partial\phi^B - V ,$$

where  $\phi^A = \{\varphi, \sigma\}$ ,  $A = 1, 2$ , and the NLSM **target space** metric is given by

**hyperbolic** geometry:  $G_{AB} = \begin{pmatrix} 1 & 0 \\ 0 & (1 - \zeta\sigma^2)e^{-\sqrt{\frac{2}{3}}\varphi} \end{pmatrix}$  **of negative curvature**

With the **FLRW spacetime** metric  $g_{mn} = \text{diag}(-1, a^2, a^2, a^2)$  the EoM read

$$\ddot{\varphi} + 3H\dot{\varphi} + \frac{1}{\sqrt{6}}(1 - \zeta\sigma^2)e^{-\sqrt{\frac{2}{3}}\varphi}\dot{\sigma}^2 + \partial_{\varphi}V = 0 ,$$

$$\ddot{\sigma} + 3H\dot{\sigma} - \frac{\zeta\sigma\dot{\sigma}^2}{1 - \zeta\sigma^2} - \sqrt{\frac{2}{3}}\dot{\varphi}\dot{\sigma} + \frac{e^{\sqrt{\frac{2}{3}}\varphi}}{1 - \zeta\sigma^2}\partial_{\sigma}V = 0 ,$$

*similar to hybrid inflation*

## Superfield transfer to Einstein matter-coupled supergravity

After introducing the **Lagrange multiplier** superfield  $\mathbf{T}$  as (*Terada and SVK, 2013*)

$$\mathcal{L} = \int d^2\Theta 2\mathcal{E} \left\{ -\frac{1}{8}(\bar{\mathcal{D}}^2 - 8\mathcal{R})N(\mathbf{S}, \bar{\mathbf{S}}) + \mathcal{F}(\mathbf{S}) + 6\mathbf{T}(\mathbf{S} - \mathcal{R}) \right\} + \text{h.c.} ,$$

varying the Lagrangian w.r.t. the  $\mathbf{T}$  **gives back** the original Lagrangian. On the other hand, the Lagrangian can be rewritten to the form

$$\mathcal{L} = \int d^2\Theta 2\mathcal{E} \left\{ \frac{3}{8}(\bar{\mathcal{D}}^2 - 8\mathcal{R}) \left[ \mathbf{T} + \bar{\mathbf{T}} - \frac{1}{3}N(\mathbf{S}, \bar{\mathbf{S}}) \right] + \mathcal{F}(\mathbf{S}) + 6\mathbf{T}\mathbf{S} \right\} + \text{h.c.}$$

that can be put into the **standard** form in supergravity, *T is inflaton superfield,  
S is goldstino superfield*

$$\mathcal{L} = \int d^2\Theta 2\mathcal{E} \left[ \frac{3}{8}(\bar{\mathcal{D}}^2 - 8\mathcal{R})e^{-K/3} + W \right] + \text{h.c.} ,$$

where the **Kähler** potential  $K$  takes the **no-scale supergravity** form

$$K = -3 \log(\mathbf{T} + \bar{\mathbf{T}} - \tilde{N}) , \quad \tilde{N} \equiv \mathbf{S}\bar{\mathbf{S}} - \frac{3}{2}\zeta(\mathbf{S}\bar{\mathbf{S}})^2 ,$$

but the modified supergravity origin of  $K$  and  $W$  becomes hidden.

*See also Ellis, Nanopoulos and Olive (2013); first observed by Cecotti (1987).*

## Production of primordial black holes (PBH) in inflation

One needs **large** curvature fluctuations ( $>10^6$  of CMB)!

There are **many** proposals in the literature:



- gravitational **instabilities** induced by scalar fields, and collapse of **large** density fluctuations,
- **bubble collisions** from first order phase transitions, *and many more !*
- critical topological defects, such as **cosmic strings** and **domain walls**.



PBH formation due to **amplification** of the power spectrum (large peak) of scalar perturbations **via tachyonic instabilities** of the scalar fields present in modified supergravity, during **multi-field inflation**. This mechanism is **different** from the standard mechanism of PBH formation in *single-field* models of inflation with a *near-inflection* point in the inflaton scalar potential.

## Isocurvature pumping mechanism during inflation

- decompose perturbations into **adiabatic**  $Q_a$  (along inflationary trajectory) and **isocurvature**  $Q_s$  (orthogonal to inflationary trajectory);
- $\ddot{Q}_a + 3H \dot{Q}_a + \Omega Q_a = \hat{f}(d/dt)(\omega Q_s)$  ,  $\ddot{Q}_s + 3H \dot{Q}_s + m_s^2 Q_s = 0$
- When  $\ddot{Q}_s \approx 0$ , we find the solution  $Q_s \approx \exp \left[ - \int dt \frac{m_s^2}{3H^2} \right]$
- when the isocurvature mass  $m_s^2 < 0$  at the critical point, we get the **exp**-amplification of  $Q_s$ ; since  $Q_a$  are sourced by  $Q_s$  in EoM, we also get an **exp**-amplification of  $Q_a$  when the inflationary trajectory has a **sharp turn** [*Palma, Sypsas, Zenteno (2020); Fumagalli, Renaux-Petel, Ronayne, Witkwoski (2020)*];
  - after the critical point  $m_s^2 > 0$  again, the isocurvature modes get **suppressed** and, hence, **no** over-amplification (and **no** PBH overproduction): [*Gundhi, Steinwachs, Ketov (2021)*].

## Straightforward generalizations toward PBH

*Aldabergenov, Addazi, Ketov, 2020*

Adding the **next-order terms** to the modified supergravity potentials yields

$$N = \frac{12}{M^2}|\mathcal{R}|^2 - \frac{72}{M^4}\zeta|\mathcal{R}|^4 - \frac{768}{M^6}\gamma|\mathcal{R}|^6 ,$$
$$F = -3\mathcal{R} + \frac{3\sqrt{6}}{M}\delta\mathcal{R}^2 .$$

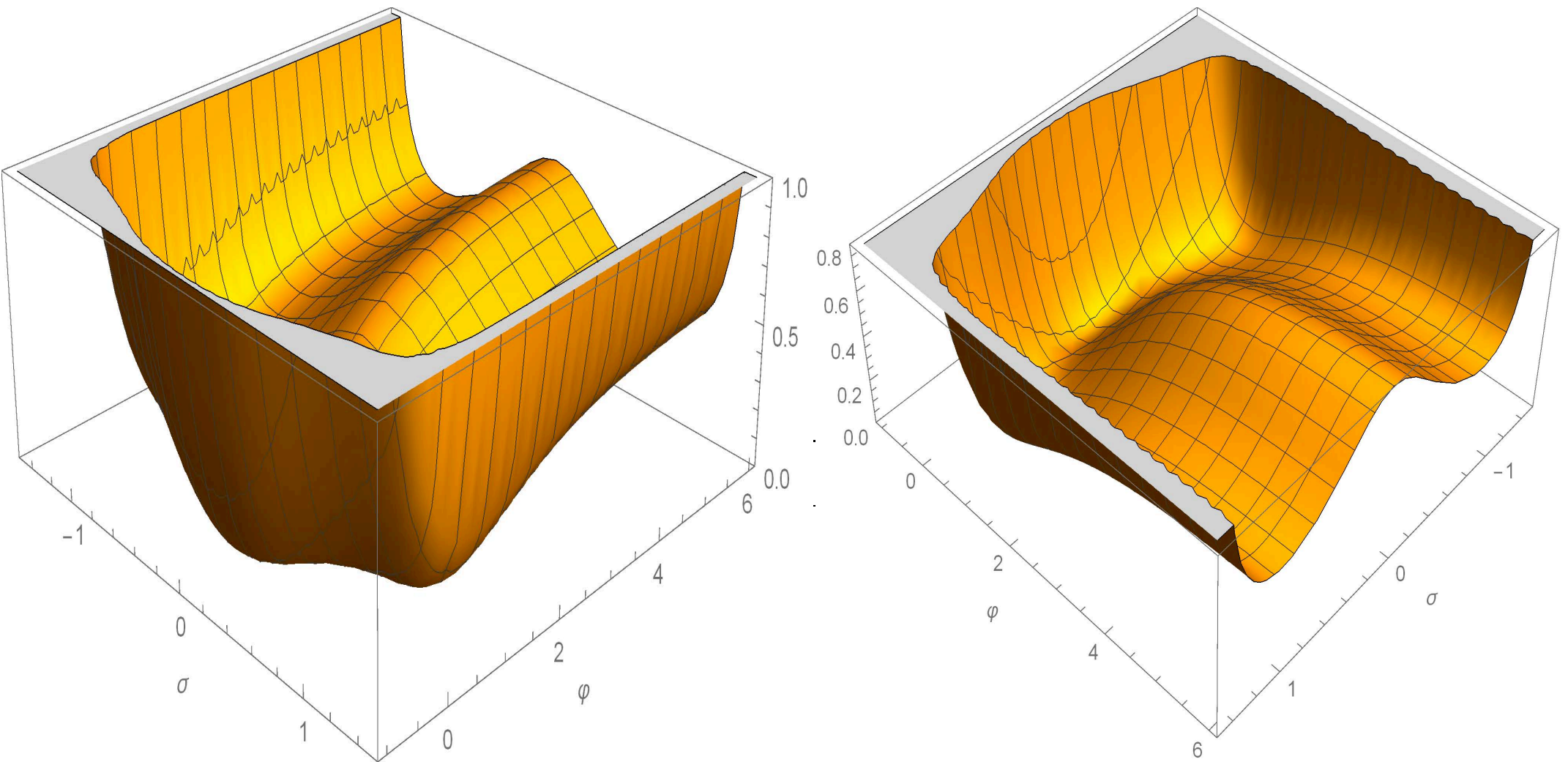
The corresponding Lagrangian in **Einstein** frame reads

$$e^{-1}\mathcal{L} = \frac{1}{2}R - \frac{1}{2}(\partial\varphi)^2 - \frac{3M^2}{2}Be^{-\sqrt{\frac{2}{3}}\varphi}(\partial\sigma)^2 - \frac{1}{4B}\left(1 - Ae^{-\sqrt{\frac{2}{3}}\varphi}\right)^2 - e^{-2\sqrt{\frac{2}{3}}\varphi}U ,$$

where the functions  $A, B, U$  are given by

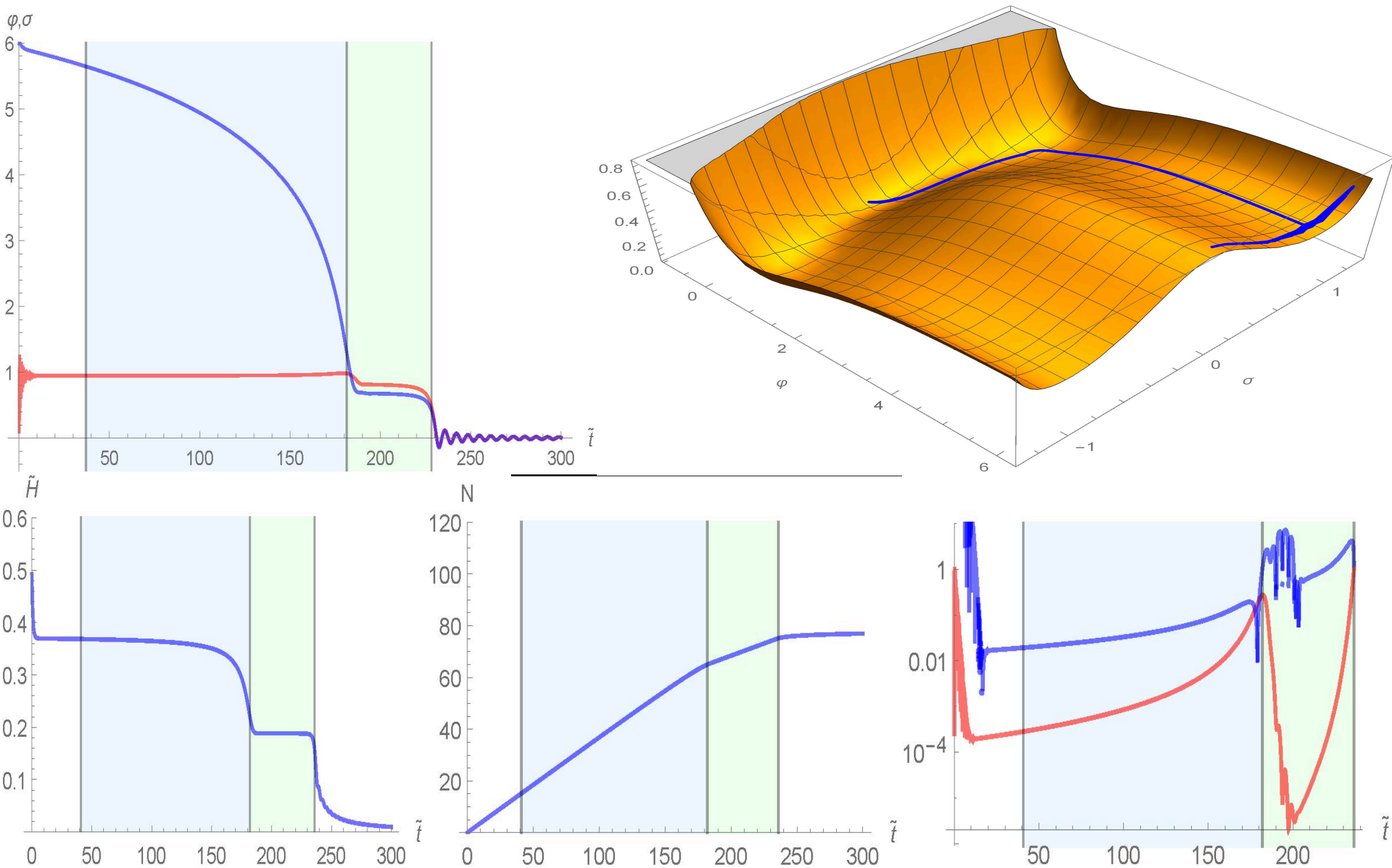
$$A = 1 - \delta\sigma + \frac{1}{6}\sigma^2 - \frac{11}{24}\zeta\sigma^4 - \frac{29}{54}\gamma\sigma^6 ,$$
$$B = \frac{1}{3M^2}(1 - \zeta\sigma^2 - \gamma\sigma^4) ,$$
$$U = \frac{M^2}{2}\sigma^2 \left(1 + \frac{1}{2}\delta\sigma - \frac{1}{6}\sigma^2 + \frac{3}{8}\zeta\sigma^4 + \frac{25}{54}\gamma\sigma^6\right) .$$

## The scalar potential of the gamma-model, $\delta = 0$



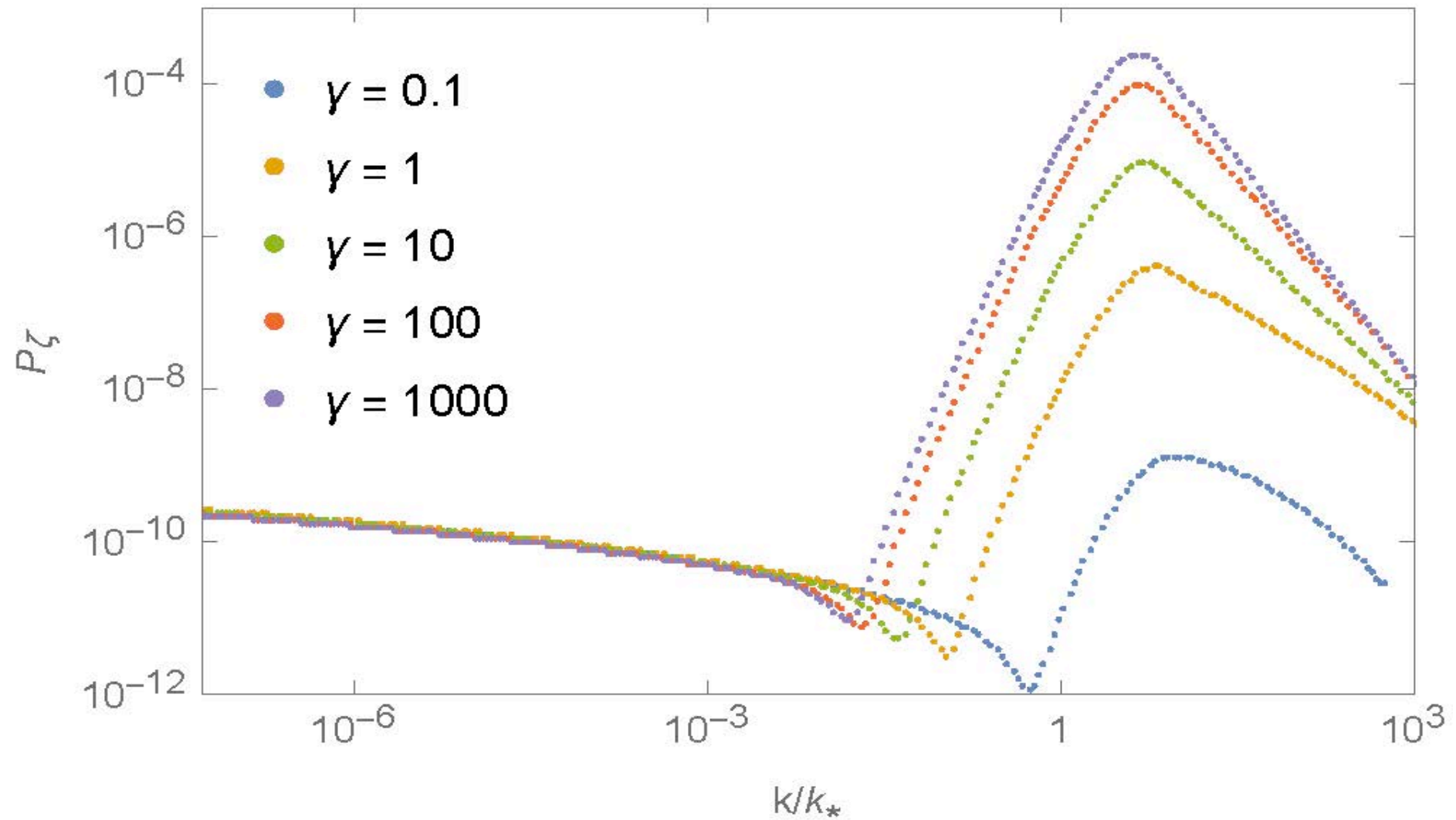


# The solution, trajectory, Hubble function, e-foldings, and slow roll parameters

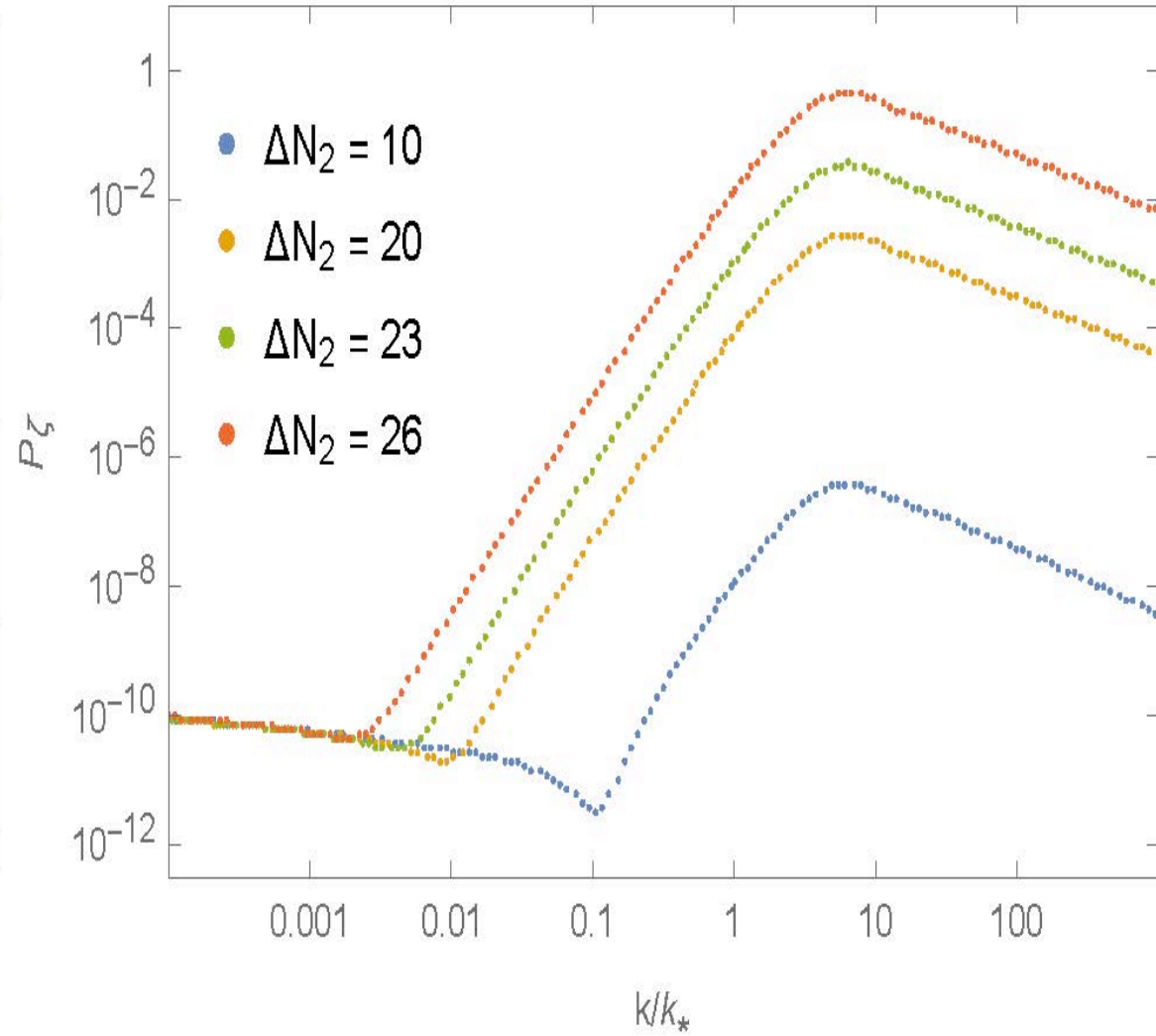
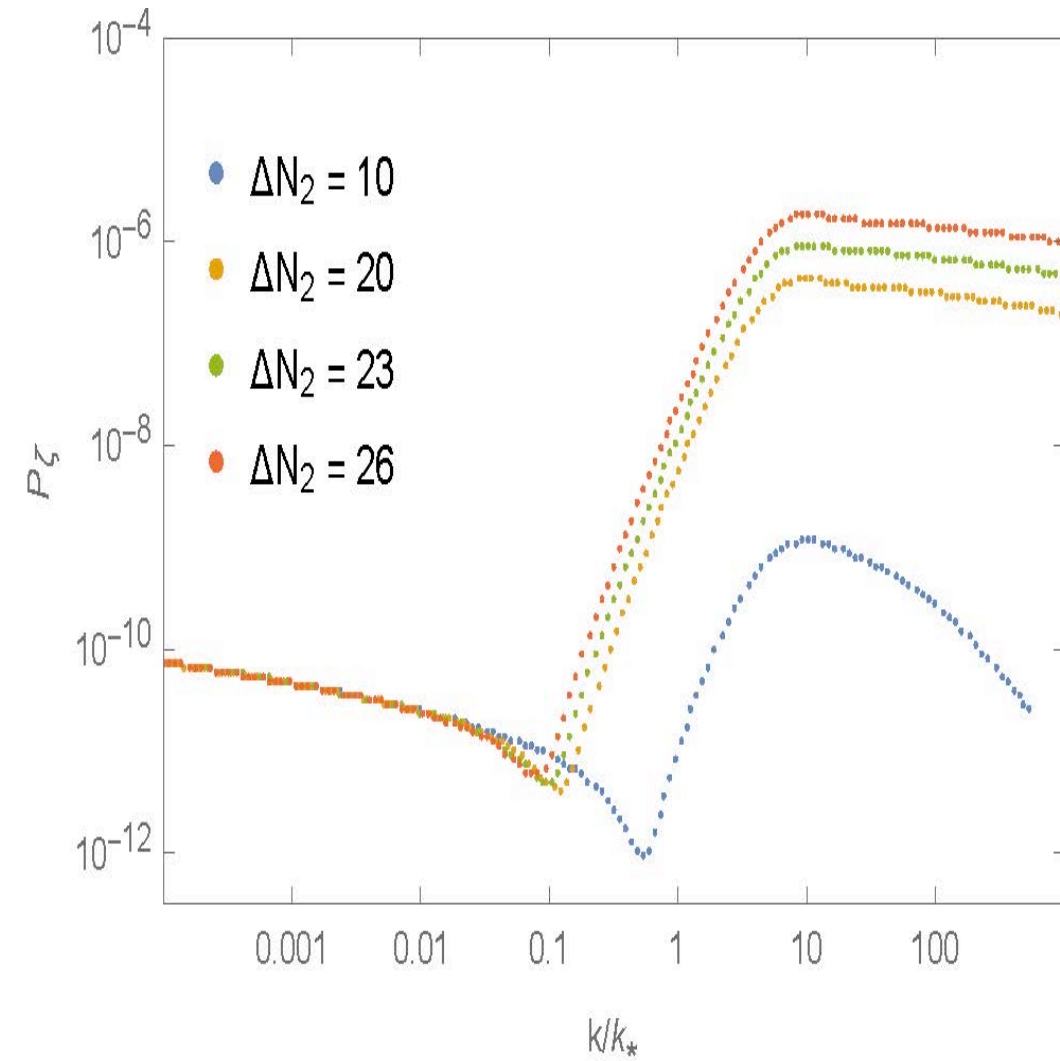




# Power spectrum at $\Delta N_2 = 10$ for various values of $\gamma$



Power spectrum at  $\gamma = 0.1$  (left) and  $\gamma = 1$  (right) with changing  $\Delta N_2$



## PBHs masses in the $\gamma$ -model

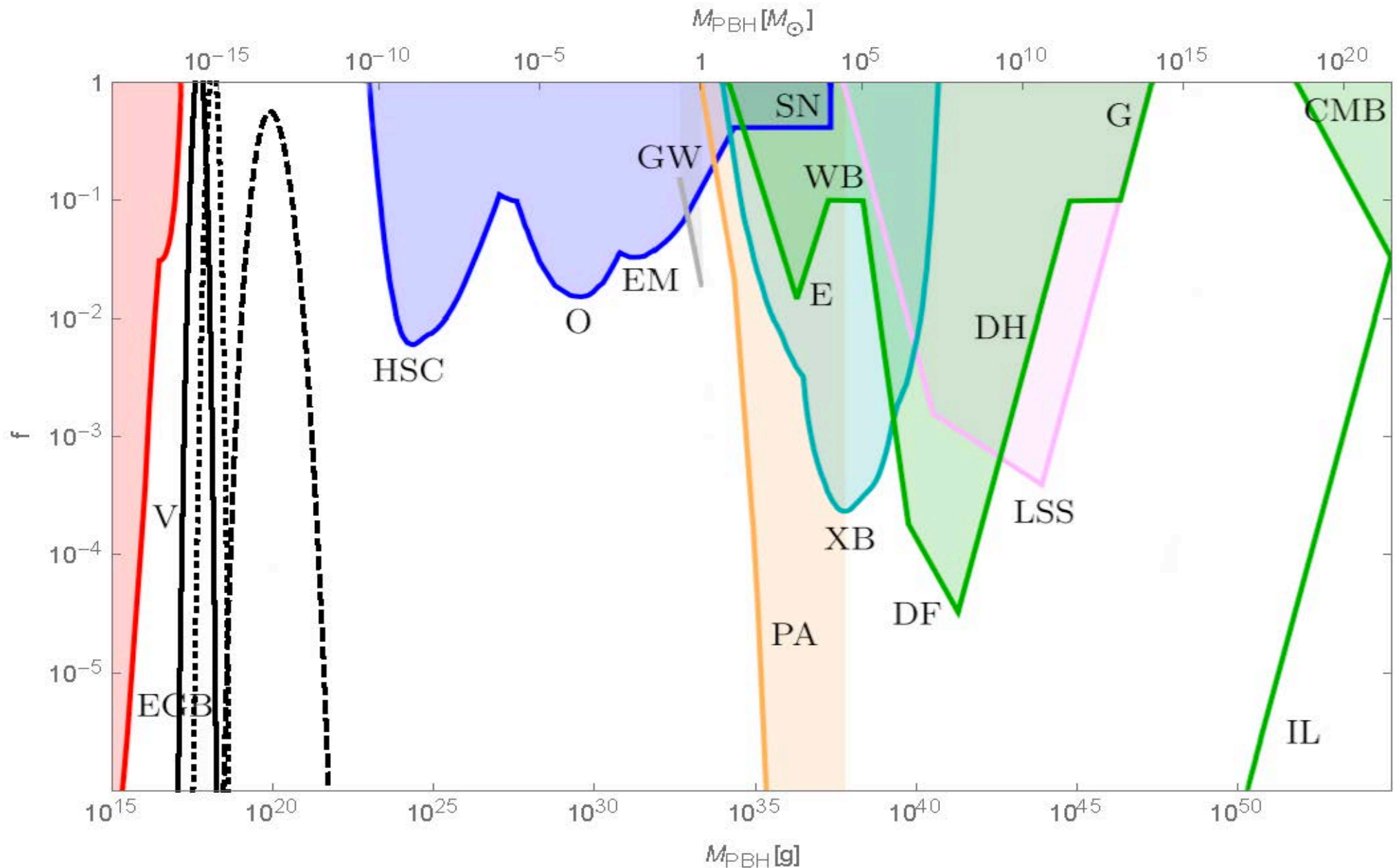
The mass of PBH created by late-inflation overdensities was estimated by [Pi, Zhang, Huang and Sasaki](#) in arXiv:1712.09896:

$$M_{\text{PBH}} \simeq \frac{M_{\text{Pl}}^2}{H(t_{\text{peak}})} \exp \left[ 2(N_{\text{end}} - N_{\text{peak}}) + \int_{t_{\text{peak}}}^{t_{60}} \epsilon(t) H(t) dt \right],$$

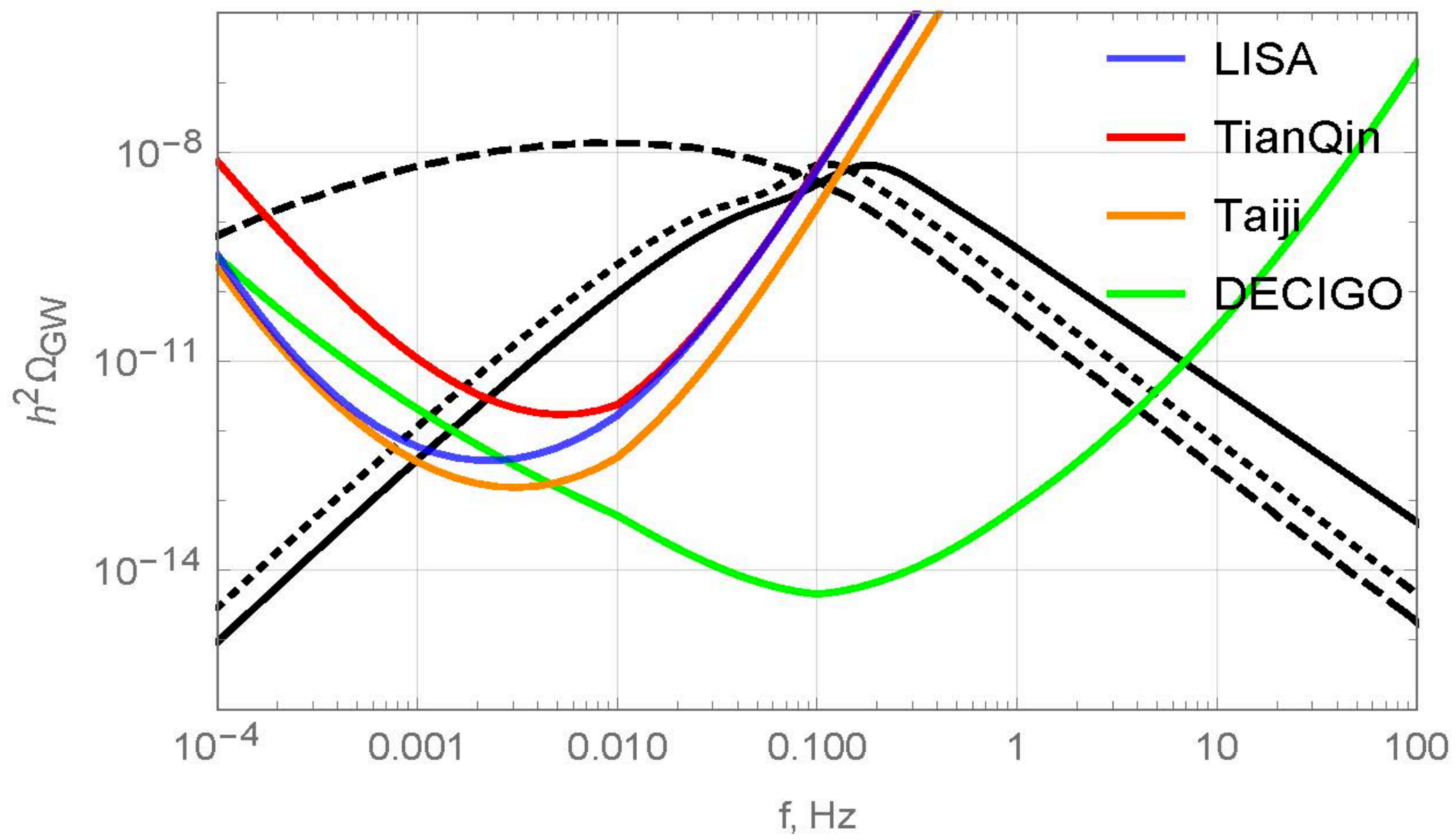
where  $t_{\text{peak}}$  is the time when the perturbation corresponding to the power spectrum peak ( $k_{\text{peak}}$ ) exits the horizon, whereas  $t_{60}$  is the time when  $k_{60}$  exits the horizon (the beginning of observable inflation). By using this equation, we estimated the values of  $M_{\text{PBH}}$  for various values of  $\Delta N_2$  in our model:

$\Delta N_2$	10	20	23	26
$M_{\text{PBH}}, g$	$10^8$	$10^{16}$	$10^{19}$	$10^{21}$
$n_s$	0.96	0.95	0.945	0.94

The PBH density fraction in the models with  $\gamma=1$ ,  $\delta=0$ ,  $\Delta N_2=22.45$  (solid line), and  $\delta=0.58$ ,  $\Delta N_2=23.36$  (dotted line). In both cases  $f_{\text{total}}=1$ .



The density of stochastic **gravitational waves** induced by the power spectrum enhancement in the our supergravity models (solid+dashed+dotted black curves) against the expected **sensitivity curves** of the space-based **GW interferometers**.



## Minimal No-scale Supergravity I

is obtained by identifying the inflaton superfield  $T$  with the goldstino superfield  $S$  (Terada, SVK, 2014). The scalar potential in supergravity reads ( $M_{\text{Pl}} = 1$ )

$$V_{\text{SUGRA}} = e^G \left[ G_{,T} \left( G_{,T\bar{T}} \right)^{-1} G_{,\bar{T}} - 3 \right]$$

where  $G = K + \ln |W|^2$ . For example, when  $K = -3 \ln(T + \bar{T})$  and  $W = W_0 T^3$ , one gets  $V = 0$ , while SUSY can be broken along a flat direction. Spontaneous SUSY breaking occurs when  $\langle F_T \rangle \neq 0$  with  $m_{3/2} = \langle e^{G/2} \rangle$ . Then goldstino is eaten up by gravitino (the well known super-Higgs mechanism). To realize inflation with  $V > 0$ , one can add a stabilizing term as (Pallis, 2023)

$$K = -p \ln \left[ T + \bar{T} + \xi^2 (T + \bar{T} - 2v)^4 \right]$$

with the new parameters  $(p, \xi, v)$ .

## Minimal No-scale Supergravity II

The superpotential is **fixed** by demanding no-scale ( $V = 0$ ) in the absence of the stabilizing term ( $\xi = 0$ ). It yields

$$W = W_1 + W_2, \quad W_{1,2} = m_{1,2} T^{q_{1,2}}, \quad q_{1,2} = \frac{1}{2} (p \pm \sqrt{3p}) ,$$

with mass scales  $m_{1,2}$ . The  $m_1$  is identified with the **inflation** scale  $\sim 10^{13}$  GeV, and  $m_2$  is identified with the **dark energy** (c.c.) scale  $\sim 10^{-3}$  eV.

The stabilizing term **breaks** no-scale, leading to a **positive** potential, selects the **vacuum** with  $\langle T \rangle = v$ , and **stabilizes** the inflationary trajectory along  $T = \bar{T}$  by giving a mass  $\mathcal{O}(m_{\text{inf}})$  to **sinflaton** (phase of  $T$ ). Good solutions (**without** branch cuts) arise for **integer** powers  $q_{1,2}$ . For instance,  $q_{1,2} = (3, 9)$  for  $p = 12$ .



## Minimal No-scale Supergravity, PBH and MSSM

The remaining parameters can be **tuned** as  $\xi \approx 1.6$  and  $v \approx 0.25$  to get a *near-inflection* point in the effective single-field inflaton potential (*Pallis, 2023*).

**Spontaneous high-scale SUSY breaking** takes place with  $m_{3/2} \sim 10^{11}$  GeV. The MSSM can be added to the minimal no-scale supergravity at the high scale by modifying the superpotential and the Kähler potential,  $W \rightarrow W + W_{\text{MSSM}}$  and  $K \rightarrow K + K_{\text{MSSM}}$ , where

$$W_{\text{MSSM}} = h_{\alpha\beta\gamma} \Phi_\alpha \Phi_\beta \Phi_\gamma + \mu H_u H_d, \quad \Phi_\alpha = (Q, L, d^c, u^c, e^c, H_d, H_u),$$

and  $K_{\text{MSSM}} = \sum_\alpha |\Phi_\alpha|^2$ . The EFT is then obtained by the RGE renormalizing the parameters  $(h_{\alpha\beta\gamma}, \mu)$  by the factor  $\langle T \rangle^{-p/2}$  and leading to **soft** SUSY breaking terms after decoupling of supergravity in the limit  $M_{\text{Pl}} \rightarrow \infty$ , consistently with the **observed Higgs mass**  $M_H = (125.15 \pm 0.25)$  GeV.



## High-scale SUSY breaking, MSSM and Higgs mass

$$H_{\text{SM}} = H_u \sin \beta + H_d^\dagger \cos \beta, \quad \lambda_{\text{SUSY}} = \frac{1}{4} (g_1^2 + g_2^2) \cos^2 2\beta,$$

$$m_{\text{H}} = (125.15 \pm 0.25) \text{ GeV}, \quad m_{\text{t}} = (173.134 \pm 0.76) \text{ GeV},$$

imply via the MSSM 2-loop RGE (*Giudice, Strumia, 2014*)

$$m_{3/2} \leq \mathcal{O}(10^{12}) \text{ GeV} \quad \text{and} \quad \tan \beta \sim \mathcal{O}(1),$$

as well as **stability** of the EW vacuum. However, SUSY **cannot** be responsible for the hierarchy  $m_{\text{H}}/M_{\text{Pl}} \sim 10^{-16}$ , **cf.** the cosmological constant fine-tuning of  $10^{-120}$ . In the gravity decoupling limit, **soft** SUSY breaking terms ensure stability.

- **Baryogenesis** via non-thermal **leptogenesis** can be activated, **cf.** *Jeong, Kamada, Starobinsky, Yokoyama (2023)* for Starobinsky inflation+supermassive RH Majorana neutrinos.

## Conclusion I

- Our approach is motivated by **modified** gravity and supergravity, leads to **viable** inflation, **efficient** PBH production and **induced** GW, and can be consistently connected to MSSM and SM.
- The **PBH masses** are possible in the window from  $10^{17}$  g to  $10^{21}$  g, in **all** our models. Those PBH may form (the whole or part of) current **dark matter**.
- The PBH-induced GW may be **detectable** by the future **space-based** gravitational interferometers (LISA, DECIGO, TianQin, Taiji) with mHz frequency.
- The **near-inflection** mechanism of PBH production can be employed in the **minimal no-scale supergravity** with the stabilizing term and viable single-large-field inflation. *This is **different** from multi-field inflation and PBH: **SUSY2023** in UK.*

## Conclusion II

- **Unification** of inflation and PBH production with high-scale SUSY breaking, MSSM and dark energy (tiny c.c.) is possible in the **minimal no-scale supergravity** in agreement with the **known** Higgs mass and the **(meta)stable** EW scale ( $\lambda_H \geq 0$ ). There is **no** Polonyi problem and **no** overproduction problem but **no** SUSY explanation for the scale hierarchy (fine tuning).
- After inflation, inflaton **decays** into other particles (gravitinos, etc.), while **heavy LSP gravitinos** with the mass of  $\mathcal{O}(10^{11})$  GeV is also a candidate for **dark matter** (Addazi, Khlopov, SVK, 2017). In our model,  $T_{\text{reh}} \sim 10^7$  GeV.
- **PBH production** during inflation in supergravity leads to the **significant constraints** on the parameters of high-energy particle physics and **strong predictions**: (i) high-scale SUSY breaking, (ii) PBH & gravitino DM, (iii) the MSSM mixing angle,  $\tan \beta \approx 1$ , etc.

## Main message to take home

- CMB (Planck) observations  $\Rightarrow$  flat inflaton potential  $\Rightarrow R^2$ -inflation
- Starobinsky  $(R + \alpha R^2)$  supergravity  $\Rightarrow$  Dark Matter as PBH and LSP
- Universal reheating mechanism via transfer to Einstein frame  $\Rightarrow$  super-heavy fermions (gravitino, sterile neutrinos)
- Renormalization from inflation scale to electro-weak scale  $\Rightarrow$  Minimal SUSY Standard Model  $\Rightarrow$  massive active neutrinos via seesaw

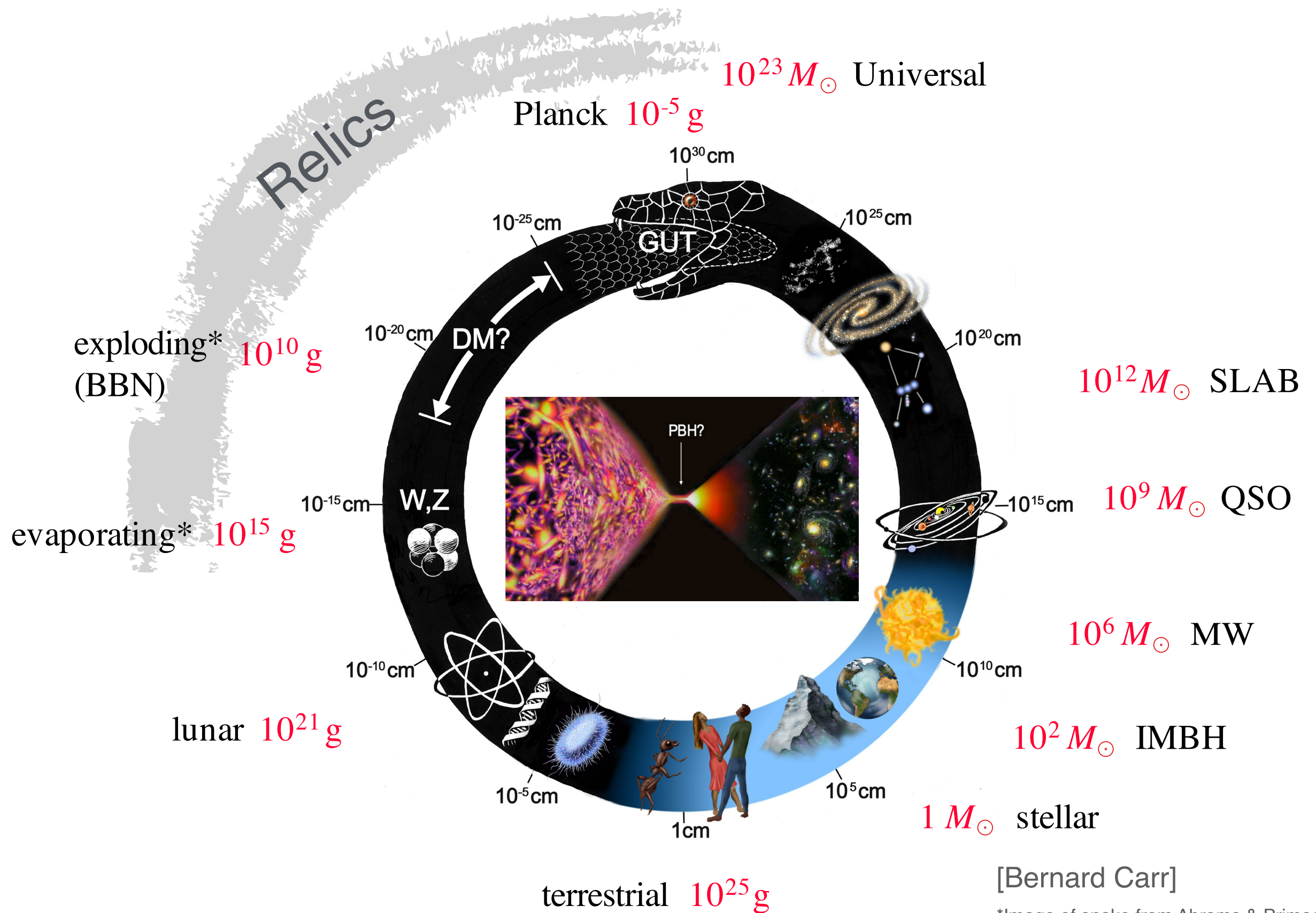
High-scale SUSY and SUGRA may solve ALL phenomenological problems!

Thank You for Your Attention!





# *Black Holes as a Link between Micro and Macro Physics*



\*Image of snake from Abrams & Primack 2012

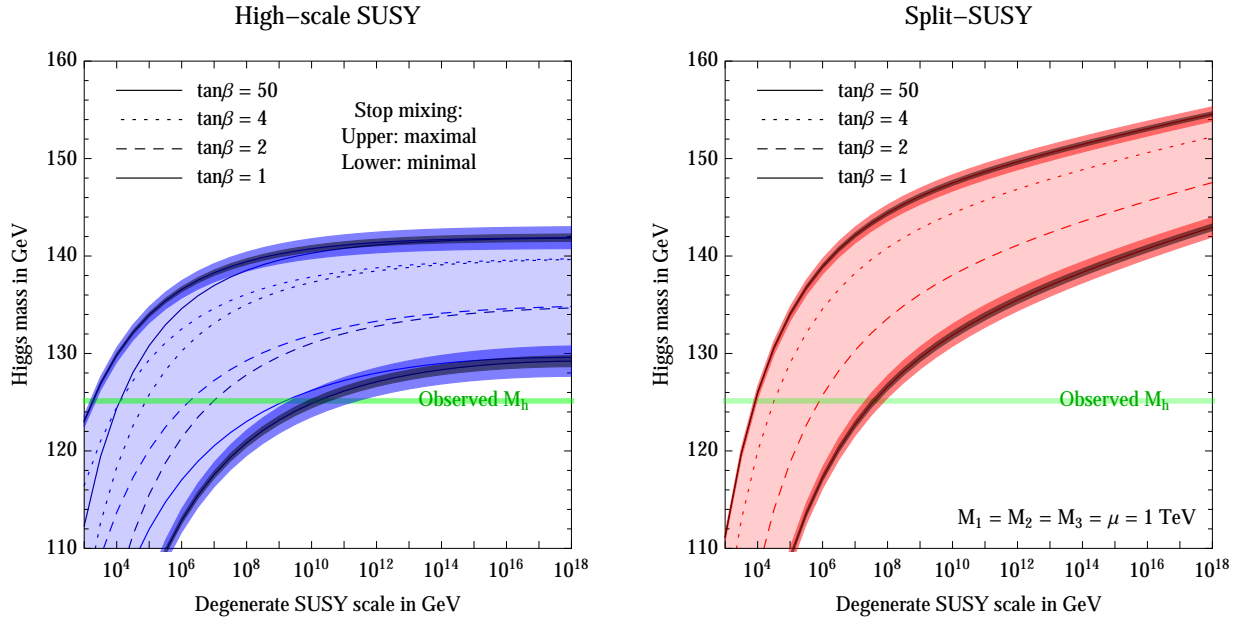


Figure 2: **Left:** the Higgs mass as a function of the SUSY scale  $\tilde{m}$ , with a degenerate spectrum of superparticles. We vary the Higgs-stop coupling  $A_t$  in such a way as to obtain minimal  $M_h$  (lower lines) and maximal  $M_h$  (upper lines) at fixed  $\tan\beta = \{1, 2, 4, 50\}$ . The bands around the extremal solid lines are obtained from  $1\sigma$  variations of  $\alpha_3(M_Z)$  (thinner band in gray) and  $M_t$  (larger band in color). The green horizontal band indicates the measured Higgs mass. **Right:** same as in the left plot, for a split spectrum with gaugino and higgsino masses set to 1 TeV and with  $A_t = 0$ .

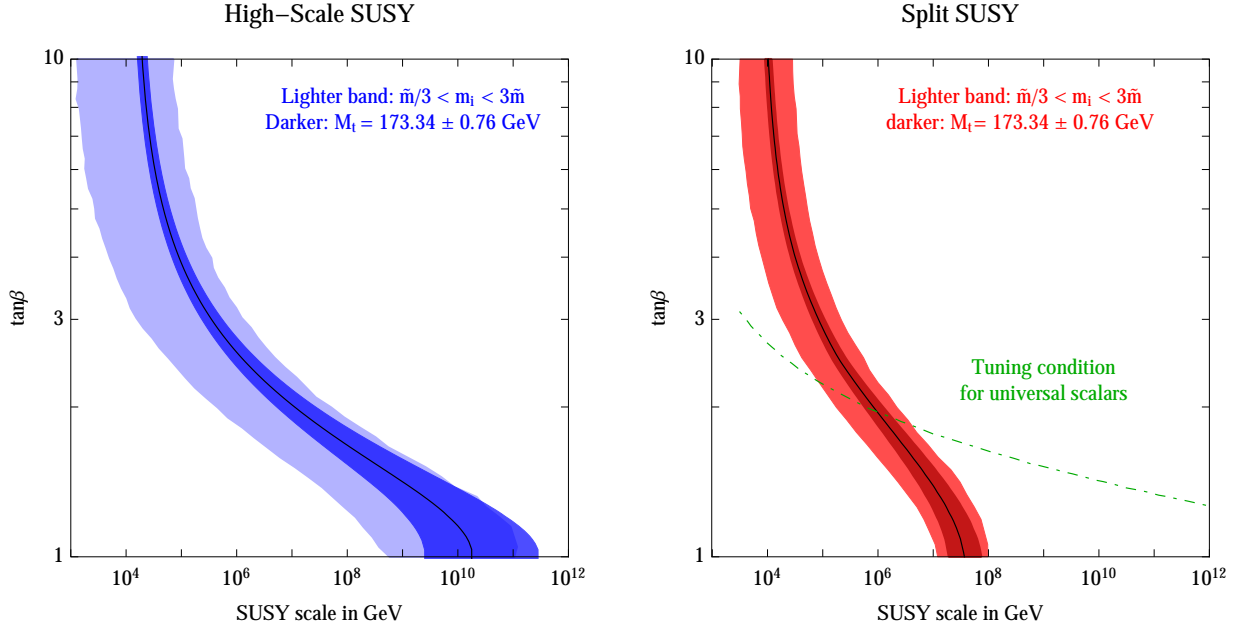


Figure 4: **Left:** Regions in the  $(\tilde{m}, \tan \beta)$  plane that reproduce the observed Higgs mass for High-Scale SUSY. The black solid line gives the prediction for  $X_t = 0$ , mass-degenerate superparticles, and central values for the SM parameters. The light-blue band shows the effect of superparticle thresholds by varying the supersymmetric parameters  $M_1, M_2, M_3, m_{Q_i}, m_{U_i}, m_{D_i}, m_{E_i}, m_{L_i}$  and  $\mu$  randomly by up to a factor 3 above or below the scale  $\tilde{m}$ , and  $A_t$  within the range allowed by vacuum stability. The dark-blue band corresponds to mass-degenerate superparticles, but includes a  $1\sigma$  variation in  $M_t$ . **Right:** Same as the left plot for the case of Split SUSY. The gaugino and higgsino masses are all set to 1 TeV, and  $A_t = 0$ . The dot-dashed curve corresponds to the EW tuning condition in the case of universal scalar masses at the GUT scale.

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